



HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE

Empire HealthChoice HMO, Inc.

NAIC Group Code 0671 0671 NAIC Company Code 95433 Employer's ID Number 13-3874803  
(Current) (Prior)  
Organized under the Laws of New York, State of Domicile or Port of Entry NY  
Country of Domicile United States of America  
Licensed as business type: Health Maintenance Organization  
Is HMO Federally Qualified? Yes [ ] No [ X ]  
Incorporated/Organized 03/05/1996 Commenced Business 03/19/1996  
Statutory Home Office 9 Pine Street, 14th Floor, New York, NY, US 10005  
(Street and Number) (City or Town, State, Country and Zip Code)  
Main Administrative Office 9 Pine Street, 14th Floor  
(Street and Number)  
New York, NY, US 10005 212-563-5570  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)  
Mail Address 9 Pine Street, 14th Floor, New York, NY, US 10005  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)  
Primary Location of Books and Records 2 Gannett Drive  
(Street and Number)  
South Portland, ME, US 04106 866-583-6182  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)  
Internet Website Address www.empireblue.com  
Statutory Statement Contact Dan Wolke, 317-488-6245  
(Name) (Area Code) (Telephone Number)  
dan.wolke@anthem.com 317-488-6200  
(E-mail Address) (FAX Number)

OFFICERS

President, Chairperson and  
Chief Executive Officer Lawrence Glenn Schreiber Treasurer Eric (Rick) Kenneth Noble  
Secretary Jay Harry Wagner Assistant Secretary Patrick James O'Keefe

OTHER

John Bennett Stephenson, Vice President and Medicaid Mark Daniel Justus, Valuation Actuary Vincent Edward Scher, Assistant Treasurer  
Plan President

DIRECTORS OR TRUSTEES

Lawrence Glenn Schreiber Grace Helen McCabe Lois Susan Freedman  
Patrick James O'Keefe Randall Vincent Pernicone

State of Maine SS:  
County of Cumberland

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Lawrence Glenn Schreiber Jay Harry Wagner Eric (Rick) Kenneth Noble  
President Secretary Treasurer

Subscribed and sworn to before me this 2nd day of August, 2018  
Sharon W. Berry

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

SHARON W. BERRY

Notary Public, Maine  
My Commission Expires January 8, 2024

Apply Correctly  
Seal Here

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	325,001,660	0	325,001,660	364,393,290
2. Stocks:				
2.1 Preferred stocks .....			0	
2.2 Common stocks .....			0	
3. Mortgage loans on real estate:				
3.1 First liens .....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....			0	
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	
5. Cash (\$ .....2,091,697 ), cash equivalents (\$ .....0 ) and short-term investments (\$ ..... ) .....	2,091,697	0	2,091,697	3,817,053
6. Contract loans (including \$ ..... premium notes) .....			0	
7. Derivatives .....			0	
8. Other invested assets .....			0	
9. Receivables for securities .....	19	0	19	
10. Securities lending reinvested collateral assets .....	4,493,970	0	4,493,970	59,142
11. Aggregate write-ins for invested assets .....	1,887,211	1,801,121	86,090	126,404
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	333,474,557	1,801,121	331,673,436	368,395,889
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	
14. Investment income due and accrued .....	3,345,613	0	3,345,613	3,584,609
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	13,884,305	2,298,073	11,586,232	17,418,845
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	
15.3 Accrued retrospective premiums (\$ .....0 ) and contracts subject to redetermination (\$ .....89,476,132 ) .....	89,476,132	0	89,476,132	62,896,113
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	143,487	0	143,487	4,282,660
16.2 Funds held by or deposited with reinsured companies .....			0	
16.3 Other amounts receivable under reinsurance contracts .....			0	
17. Amounts receivable relating to uninsured plans .....	515,964	93,786	422,178	349,371
18.1 Current federal and foreign income tax recoverable and interest thereon .....	42,779,617	0	42,779,617	40,973,746
18.2 Net deferred tax asset .....	4,898,249	0	4,898,249	4,899,816
19. Guaranty funds receivable or on deposit .....			0	
20. Electronic data processing equipment and software .....			0	
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ .....15,363,986 ) and other amounts receivable .....	37,322,748	21,958,762	15,363,986	7,873,383
25. Aggregate write-ins for other than invested assets .....	26,958,490	2,440,551	24,517,939	14,544,211
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	552,799,162	28,592,293	524,206,869	525,218,643
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	
28. Total (Lines 26 and 27)	552,799,162	28,592,293	524,206,869	525,218,643
DETAILS OF WRITE-INS				
1101. Investment Guaranty Fund (Blue Cross and Blue Shield Association) .....	1,887,211	1,801,121	86,090	126,404
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	1,887,211	1,801,121	86,090	126,404
2501. New York Assessment .....	9,071,346	0	9,071,346	9,080,065
2502. Premium Tax Recoverable .....	4,266,797	0	4,266,797	369,976
2503. Stop Loss Receivables (NY Regulation 4321, 4322 & 4327) .....	4,157,658	0	4,157,658	2,902,619
2598. Summary of remaining write-ins for Line 25 from overflow page .....	9,462,689	2,440,551	7,022,138	2,191,551
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	26,958,490	2,440,551	24,517,939	14,544,211

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ .....0 reinsurance ceded) .....	96,913,307	0	96,913,307	141,657,221
2. Accrued medical incentive pool and bonus amounts .....	9,649,916	0	9,649,916	12,541,971
3. Unpaid claims adjustment expenses .....	2,869,682	0	2,869,682	4,213,467
4. Aggregate health policy reserves, including the liability of \$ .....0 for medical loss ratio rebate per the Public Health Service Act .....	22,509,229	0	22,509,229	36,342,728
5. Aggregate life policy reserves .....			0	
6. Property/casualty unearned premium reserve .....			0	
7. Aggregate health claim reserves .....	14,870	0	14,870	37,905
8. Premiums received in advance .....	80,115,005	0	80,115,005	1,747,849
9. General expenses due or accrued .....	13,676,550	0	13,676,550	6,741,074
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	
10.2 Net deferred tax liability .....			0	
11. Ceded reinsurance premiums payable .....			0	
12. Amounts withheld or retained for the account of others.....	1,932,658	0	1,932,658	1,834,978
13. Remittances and items not allocated .....	5,917,228	0	5,917,228	5,546,143
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	
15. Amounts due to parent, subsidiaries and affiliates .....	57,598,962	0	57,598,962	97,940,602
16. Derivatives .....			0	
17. Payable for securities .....			0	
18. Payable for securities lending .....	4,493,970	0	4,493,970	59,142
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers).....			0	
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	
22. Liability for amounts held under uninsured plans .....			0	
23. Aggregate write-ins for other liabilities (including \$ .....25,691,786 current) .....	26,188,195	0	26,188,195	12,956,799
24. Total liabilities (Lines 1 to 23) .....	321,879,572	0	321,879,572	321,619,879
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	19,157,298
26. Common capital stock .....	XXX	XXX	2	2
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	86,999,998	86,999,998
29. Surplus notes .....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	160,381,882	160,381,882
31. Unassigned funds (surplus) .....	XXX	XXX	(45,054,585)	(62,940,416)
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	202,327,297	203,598,764
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	524,206,869	525,218,643
DETAILS OF WRITE-INS				
2301. Miscellaneous Medicare Liabilities .....	22,135,315		22,135,315	8,669,474
2302. Escheat Liability .....	1,769,504		1,769,504	2,029,044
2303. Other Premium Liability .....	1,133,907		1,133,907	1,598,957
2398. Summary of remaining write-ins for Line 23 from overflow page .....	1,149,469	0	1,149,469	659,324
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	26,188,195	0	26,188,195	12,956,799
2501. Estimated ACA Health Insurer fee .....	XXX	XXX	0	19,157,298
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	19,157,298
3001. Required Reserves .....	XXX	XXX	160,381,882	160,381,882
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	160,381,882	160,381,882

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	522,068	832,931	1,650,248
2. Net premium income ( including \$ .....0 non-health premium income).....	XXX	497,282,355	653,192,931	1,283,055,054
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	482,215	(1,042,731)	1,527,172
4. Fee-for-service (net of \$ .....0 medical expenses).....	XXX			
5. Risk revenue .....	XXX			
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	497,764,570	652,150,200	1,284,582,226
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....		331,247,831	440,180,815	888,181,934
10. Other professional services .....		25,021,818	25,172,044	54,477,472
11. Outside referrals .....		15,093,025	23,500,129	45,592,064
12. Emergency room and out-of-area .....		14,528,466	17,186,631	35,198,793
13. Prescription drugs .....		45,065,476	95,988,988	191,011,859
14. Aggregate write-ins for other hospital and medical .....	0	(1,255,039)	(1,362,520)	(3,679,156)
15. Incentive pool, withhold adjustments and bonus amounts .....		13,198,954	11,431,363	28,195,585
16. Subtotal (Lines 9 to 15) .....	0	442,900,531	612,097,450	1,238,978,551
<b>Less:</b>				
17. Net reinsurance recoveries .....			930,930	985,068
18. Total hospital and medical (Lines 16 minus 17) .....	0	442,900,531	611,166,520	1,237,993,483
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$ .....10,605,560 cost containment expenses .....		16,217,608	21,314,393	49,806,835
21. General administrative expenses .....		52,308,972	41,278,461	95,110,160
22. Increase in reserves for life and accident and health contracts (including \$ ..... increase in reserves for life only) .....		(15,004,258)	40,438,969	(14,228,785)
23. Total underwriting deductions (Lines 18 through 22).....	0	496,422,853	714,198,343	1,368,681,693
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	1,341,717	(62,048,143)	(84,099,467)
25. Net investment income earned .....		5,744,362	5,818,414	11,761,729
26. Net realized capital gains (losses) less capital gains tax of \$ .....(125,699) .....		(692,382)	570,418	594,077
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	5,051,980	6,388,832	12,355,806
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ..... ) (amount charged off \$ .....185,852 )].....		(185,852)	(335,383)	(547,723)
29. Aggregate write-ins for other income or expenses .....	0	(302,524)	(174,896)	(407,607)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	5,905,321	(56,169,590)	(72,698,991)
31. Federal and foreign income taxes incurred .....	XXX	4,982,799	(2,487,024)	(34,306,881)
32. Net income (loss) (Lines 30 minus 31) .....	XXX	922,522	(53,682,566)	(38,392,110)
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX			
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. Pool Recoveries – Stop Loss .....	0	(1,255,039)	(1,362,520)	(3,679,156)
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	(1,255,039)	(1,362,520)	(3,679,156)
2901. Miscellaneous (expense) income .....	0	(302,524)	(174,896)	(407,607)
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	(302,524)	(174,896)	(407,607)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	203,598,764	223,931,842	223,931,842
34. Net income or (loss) from Line 32 .....	922,522	(53,682,566)	(38,392,110)
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ ..... 23,786	89,484	(41,985)	(42,197)
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....	22,219	(922,244)	(21,048)
39. Change in nonadmitted assets .....	(2,305,692)	(6,686,791)	3,122,277
40. Change in unauthorized and certified reinsurance .....	0		
41. Change in treasury stock .....	0		
42. Change in surplus notes .....	0		
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in .....	0		
44.2 Transferred from surplus (Stock Dividend).....	0		
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in .....	0		15,000,000
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	(1,271,467)	(61,333,586)	(20,333,078)
49. Capital and surplus end of reporting period (Line 33 plus 48)	202,327,297	162,598,256	203,598,764
DETAILS OF WRITE-INS			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	555,502,968	691,519,844	1,276,185,355
2. Net investment income .....	7,450,594	8,662,783	16,431,321
3. Miscellaneous income .....	0		
4. Total (Lines 1 to 3) .....	562,953,562	700,182,627	1,292,616,676
5. Benefit and loss related payments .....	486,420,362	634,801,599	1,228,404,193
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	62,946,734	64,922,998	144,216,688
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... (125,699) tax on capital gains (losses) .....	6,662,971	7,333,663	(1,042,901)
10. Total (Lines 5 through 9) .....	556,030,067	707,058,260	1,371,577,980
11. Net cash from operations (Line 4 minus Line 10) .....	6,923,495	(6,875,633)	(78,961,304)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	95,423,700	90,607,817	150,720,653
12.2 Stocks .....	0		
12.3 Mortgage loans .....	0		
12.4 Real estate .....	0		
12.5 Other invested assets .....	0		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(22)		(900)
12.7 Miscellaneous proceeds .....	(61,435)	12,335,986	21,392,759
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	95,362,243	102,943,803	172,112,512
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	58,204,096	46,034,191	109,054,150
13.2 Stocks .....	0		
13.3 Mortgage loans .....	0		
13.4 Real estate .....	0		
13.5 Other invested assets .....	0		
13.6 Miscellaneous applications .....	4,475,161	49,815	65,353
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	62,679,257	46,084,006	109,119,503
14. Net increase (or decrease) in contract loans and premium notes .....	0		
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	32,682,986	56,859,797	62,993,009
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0		
16.2 Capital and paid in surplus, less treasury stock .....	0		15,000,000
16.3 Borrowed funds .....	0		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0		
16.5 Dividends to stockholders .....	0		
16.6 Other cash provided (applied) .....	(41,331,837)	(19,115,680)	2,775,542
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(41,331,837)	(19,115,680)	17,775,542
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(1,725,356)	30,868,484	1,807,247
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	3,817,053	2,009,806	2,009,806
19.2 End of period (Line 18 plus Line 19.1) .....	2,091,697	32,878,290	3,817,053

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. ....			
---------------	--	--	--

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1  Total	Comprehensive (Hospital & Medical)		4  Medicare Supplement	5  Vision Only	6  Dental Only	7  Federal Employees Health Benefit Plan	8  Title XVIII Medicare	9  Title XIX Medicaid	10  Other
		2  Individual	3  Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	134,609	49,084	16,068	0	0	0	0	69,457	0	0
2. First Quarter .....	86,816	0	13,170	0	0	0	0	73,646	0	0
3. Second Quarter .....	86,406	0	12,517	0	0	0	0	73,889	0	0
4. Third Quarter .....	0									
5. Current Year	0									
6. Current Year Member Months	522,068		80,084					441,984		
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	647,550		58,082					589,468		
8. Non-Physician .....	421,557		24,107					397,450		
9. Total	1,069,107	0	82,189	0	0	0	0	986,918	0	0
10. Hospital Patient Days Incurred	78,468		2,524					75,944		
11. Number of Inpatient Admissions	10,476		509					9,967		
12. Health Premiums Written (a) .....	497,282,355	1,163,613	62,689,185					433,270,808		158,749
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	497,764,570	1,163,613	62,645,754					433,796,818		158,385
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services.....	490,559,535	31,792,140	55,143,604					403,476,391		147,400
18. Amount Incurred for Provision of Health Care Services	442,900,531	(7,623,069)	51,130,305					399,246,829		146,466

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 433,270,808

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

∞



UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	52,588,615	44,801,531	4,884,956	11,881,032	57,473,571	59,922,464
2. Medicare Supplement .....					0	0
3. Dental Only .....					0	0
4. Vision Only .....					0	0
5. Federal Employees Health Benefits Plan .....					0	0
6. Title XVIII - Medicare .....	63,682,332	350,571,396	4,889,915	75,265,236	68,572,247	81,764,690
7. Title XIX - Medicaid .....					0	0
8. Other health .....	4,397	143,003	1,218	5,820	5,615	7,972
9. Health subtotal (Lines 1 to 8) .....	116,275,344	395,515,930	9,776,089	87,152,088	126,051,433	141,695,126
10. Healthcare receivables (a) .....	6,017,328	31,305,420	0	0	6,017,328	0
11. Other non-health .....					0	0
12. Medical incentive pools and bonus amounts .....	12,018,389	4,072,620	4,611,938	5,037,978	16,630,327	12,541,971
13. Totals (Lines 9-10+11+12)	122,276,405	368,283,130	14,388,027	92,190,066	136,664,432	154,237,097

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2017. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Empire HealthChoice HMO, Inc. (the “Company”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Annual Statement Instructions* and in accordance with accounting practices prescribed or permitted by the New York State Department of Financial Services (the “Department”). The Department has adopted accounting policies found in the NAIC *Accounting Practices and Procedures Manual* (“NAIC SAP”) as a component of prescribed accounting practices. Additionally, the Department has adopted certain prescribed accounting practices that differ from those found in NAIC SAP, which impact the Company, specifically 1) overdue premiums (in excess of 90 days) from state and local governments or any of its instrumentalities shall be admitted assets; in NAIC SAP, premiums over 90 days due are non-admitted; 2) certain estimated market stabilization reinsurance/ pooling recoverables, stop-loss recoverables, and reinsurance recoverables are admitted assets; in NAIC SAP, these recoverables are admitted only upon notification of the refund; 3) invested assets held for the benefit of out of state subscriber claims (Blue Cross and Blue Shield Association Investment Guaranty Fund) which exceeds 105% of the required amount to be held in trust are non-admitted assets; in NAIC SAP, these invested assets would be admitted; and 4) prepaid broker commissions are admitted assets; in NAIC SAP, prepaid broker commissions are nonadmitted assets. The Department has the right to permit other specific practices that deviate from prescribed practices. The Company has employed no permitted practices in preparing the accompanying statutory-basis financial statements.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	June 30, 2018	December 31, 2017
<b>Net Income</b>					
(1) Empire HealthChoice HMO, Inc. state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 922,522	\$ (38,392,110)
(2) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(3) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 922,522	\$ (38,392,110)
<b>Surplus</b>					
(5) Empire HealthChoice HMO, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 202,327,297	\$ 203,598,764
(6) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:					
Pooling/Stop Loss recoverable	6	2	25	4,157,658	2,902,619
BCA Investment Guaranty Fund	26	2	11	(1,801,121)	(1,659,058)
Prepaid Brokers' Commissions	29	2	25	959,814	771,872
Overdue local government premiums	6	2	15.1	87,558	846,314
(7) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 198,923,388	\$ 200,737,017

## NOTES TO FINANCIAL STATEMENTS

### **B. Use of Estimates in the Preparation of the Financial Statements**

No significant change.

### **C. Accounting Policies**

(1) - (5) No significant change.

(6) Loan-backed securities are stated at amortized cost. Pre-payment assumptions for loan-backed securities and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized cost or fair value.

(7) - (14) No significant change.

### **D. Going Concern**

Not applicable.

## **2. Accounting Changes and Corrections of Errors**

Not applicable.

## **3. Business Combinations and Goodwill**

Not applicable.

## **4. Discontinued Operations**

Not applicable.

## **5. Investments**

### **A. - C.**

Not applicable.

### **D. Loan-Backed Securities**

(1) Prepayment assumptions for single-class and multi-class mortgage-backed and asset-backed securities were obtained from broker-dealer survey values or internal estimates. The Company used various third-party pricing sources in determining the market value of its loan-backed securities.

(2) The Company did not recognize other-than-temporary impairments on its loan-backed securities during the six months ended June 30, 2018.

(3) The Company did not hold other-than-temporary impairments on its loan-backed securities at June 30, 2018.

(4) The Company had no impaired securities for which an other-than-temporary impairment had not been recognized in earnings as a realized loss at June 30, 2018.

(5) The Company had no impaired loan-backed securities at June 30, 2018.

### **E. Dollar Repurchase Agreements and/or Securities Lending Transactions**

(1) Not applicable.

(2) No significant change.

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.  
NOTES TO FINANCIAL STATEMENTS

(3) Collateral Received

- a. No significant change.
- b. The fair value of that collateral and of the portion of that collateral that it has sold or replugged. \$ 4,495,665
- c. No significant change.

(4) Not applicable.

(5) No significant change.

(6) Not applicable.

(7) Not applicable.

**F. Repurchase Agreements Transactions Accounted for as Secured Borrowing**

The Company did not enter into repurchase agreement transactions accounted for as secured borrowing at June 30, 2018.

**G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing**

The Company did not enter into reverse repurchase agreement transactions accounted for as a secured borrowing at June 30, 2018.

**H. Repurchase Agreements Transactions Accounted for as a Sale**

The Company did not enter into repurchase agreement transactions accounted for as a sale at June 30, 2018.

**I. Reverse Repurchase Agreements Transactions Accounted for as a Sale**

The Company did not enter into reverse repurchase agreement transactions accounted for as a sale at June 30, 2018.

**J. Real Estate**

No significant change.

**K. Investments in Low-Income Housing Tax Credits**

No significant change.

**L. Restricted Assets**

No significant change.

**M. Working Capital Finance Investments**

Not applicable.

**N. Offsetting and Netting of Assets and Liabilities**

The Company had no netted assets and liabilities at June 30, 2018.

**O. Structured Notes**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

**P. 5\* Securities**

The Company has no 5\* Securities as of June 30, 2018.

**Q. Short Sales**

The Company did not have any short sales at June 30, 2018.

**R. Prepayment Penalty and Acceleration Fees**

The Company did not have any prepayment penalty or acceleration fees at June 30, 2018.

**6. Joint Ventures, Partnerships and Limited Liability Companies**

Not applicable.

**7. Investment Income**

No significant change.

**8. Derivative Instruments**

No significant change.

**9. Income Taxes**

No significant change.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

**A. Nature of the Relationship**

No significant change.

**B. Significant Transactions for the Period**

No significant change.

**C. Intercompany Management and Service Arrangements**

No significant change.

**D. Amounts Due to or from Related Parties**

At June 30, 2018, the Company reported no amounts due from affiliates and \$57,598,962 due to affiliates. The payable balance represents intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

**E. - N.**

No significant change.

**11. Debt**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

**A. Defined Benefit Plan**

Not applicable.

**B.** Not applicable.

**C.** Not applicable.

**D.** Not applicable.

**E. Defined Contribution Plans**

Not applicable.

**F. Multiemployer Plans**

The Company does not participate in a multiemployer plan.

**G. Consolidated/Holding Company Plans**

No significant change.

**H. Post Employment Benefits and Compensated Absences**

Not applicable.

**I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)**

Not applicable.

**13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

**(1) - (8)**

No significant change.

**(9) Changes in Special Surplus Funds**

The change in balances of special surplus funds from the prior year are due to changes in the amounts segregated for the estimated Affordable Care Act ("ACA") health insurer fee. As of June 30, 2018, the Company fully expensed the estimated 2018 insurer fee. The insurer fee was suspended for 2019.

**(10) - (13)**

No significant change.

**14. Liabilities, Contingencies and Assessments**

No significant change.

**15. Leases**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

**16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

**A. Transfers of Receivables Reported as Sales**

Not applicable.

**B. Transfer and Servicing of Financial Assets**

(1) No significant change.

(2) - (7) Not applicable.

**C. Wash Sales**

(1) In the course of the Company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.

(2) At June 30, 2018, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

**18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

**A. Administrative Services Only Plans**

Not applicable.

**B. Administrative Services Contract Plans**

Not applicable.

**C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract**

No significant change.

**19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable.

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.  
NOTES TO FINANCIAL STATEMENTS

**20. Fair Value Measurements**

**A.**

(1) Fair Value Measurement at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total	Net Asset Value ("NAV") Included in Level 2
a. Assets at fair value					
Bonds					
U.S. Special Revenues	\$ —	\$ 2,121,657	\$ —	\$2,121,657	\$ —
Industrial and misc	—	754,609	—	754,609	—
Total bonds	\$ —	\$ 2,876,266	\$ —	\$2,876,266	\$ —
Total assets at fair value	\$ —	\$ 2,876,266	\$ —	\$2,876,266	\$ —

(2) Fair Value Measurement in (Level 3) of the Fair Value Hierarchy

There are no investments in Level 3 as of June 30, 2018.

(3) The Company's policy is to recognize transfers between Levels, if any, as of the beginning of the reporting period.

(4) Fair values of bonds are based on quoted market prices, where available. These fair values are obtained primarily from third party pricing services, which generally use Level 1 or Level 2 inputs, for the determination of fair value to facilitate fair value measurements and disclosures. Level 2 securities primarily include United States government securities, corporate securities, securities from states, municipalities and political subdivisions, residential mortgage-backed securities and certain other asset-backed securities. For securities not actively traded, the pricing services may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. The Company has controls in place to review the pricing services' qualifications and procedures used to determine fair values. In addition, the Company periodically reviews the pricing services' pricing methodologies, data sources and pricing inputs to ensure the fair values obtained are reasonable.

There have been no significant changes in the valuation techniques during the current period.

**B. Fair Value Measurements Under Other Accounting Pronouncements**

Not applicable.

**C. Financial Instruments**

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value ("NAV") Included in Level 2
Bonds	\$ 322,296,415	\$ 325,001,660	\$ —	\$ 322,296,415	\$ —	\$ —	\$ —
Securities lending collateral asset	4,495,665	4,493,970	3,611,334	884,331	—	—	—

**D. Not Practicable to Estimate Fair Value**

There are no financial instruments that were not practicable to estimate at fair value.



STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.  
NOTES TO FINANCIAL STATEMENTS

**21. Other Items**

No significant change.

**22. Events Subsequent**

Subsequent events have been considered through August 13, 2018 for the statutory statement issued on August 14, 2018. There were no other events occurring subsequent to June 30, 2018 requiring recognition or disclosure.

**23. Reinsurance**

No significant change.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

**A. - D.**

No significant change.

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

E. Risk Sharing Provisions of the Affordable Care Act (“ACA”)

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)?	Yes
(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year	
a. Permanent ACA Risk Adjustment Program Assets	
Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool premium)	\$ 60,747,249
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 93,123
3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium)	\$ 1,615,034
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/ collected) due to ACA Risk Adjustment	\$ 364,521
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 2,908
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 143,487
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	\$ —
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ —
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ —
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ —
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	\$ —
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ —
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ —
9. ACA Reinsurance contributions - not reported as ceded premium	\$ —
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ —
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ —
Operations (Revenue & Expense)	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ —
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ —
Line items where the amount is zero is due to no balance and/or no activity as of the reporting date.	

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

		Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
						Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Ref	Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
		1	2	3	4	5	6	7	8		9	10
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program												
1. Premium adjustments receivable (including high risk pool payments)	\$ 58,798,885	\$ —	\$ 31,191	\$ —	\$ 58,767,694	\$ —	\$ 1,979,555	\$ —	A	\$ 60,747,249	\$ —	
2. Premium adjustments (payable) (including high risk pool premiums)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 667,341	B	\$ —	\$ 667,341		
3. Subtotal ACA Permanent Risk Adjustment Program	\$ 58,798,885	\$ —	\$ 31,191	\$ —	\$ 58,767,694	\$ —	\$ 1,979,555	\$ 667,341		\$ 60,747,249	\$ 667,341	
b. Transitional ACA Reinsurance Program												
1. Amounts recoverable for claims paid	\$ 4,282,660	\$ —	\$ 4,139,173	\$ —	\$ 143,487	\$ —	\$ —	\$ —	C	\$ 143,487	\$ —	
2. Amounts recoverable for claims unpaid (contra liability)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	D	\$ —	\$ —	
3. Amounts receivable relating to uninsured plans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	E	\$ —	\$ —	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	F	\$ —	\$ —	
5. Ceded reinsurance premiums payable	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	G	\$ —	\$ —	
6. Liability for amounts held under uninsured plans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	H	\$ —	\$ —	
7. Subtotal ACA Transitional Reinsurance Program	\$ 4,282,660	\$ —	\$ 4,139,173	\$ —	\$ 143,487	\$ —	\$ —	\$ —		\$ 143,487	\$ —	
c. Temporary ACA Risk Corridors Program												
1. Accrued retrospective premium	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	I	\$ —	\$ —	
2. Reserve for rate credits or policy experience rating refunds	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	J	\$ —	\$ —	
3. Subtotal ACA Risk Corridors Program	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —	
d. Total for ACA Risk Sharing Provisions	\$ 63,081,545	\$ —	\$ 4,170,364	\$ —	\$ 58,911,181	\$ —	\$ 1,979,555	\$ 667,341		\$ 60,890,736	\$ 667,341	

Explanations of adjustments

- A Adjustments were made to reflect the ending balance in the Centers for Medicare & Medicaid Services "Summary Report on Permanent Risk Adjustment Transfers for the 2017 Benefit Year."
- B Adjustments were made to reflect the ending balance in the Centers for Medicare & Medicaid Services "Summary Report on Permanent Risk Adjustment Transfers for the 2017 Benefit Year."
- C Not applicable.
- D Not applicable.
- E Not applicable.
- F Not applicable.
- G Not applicable.
- H Not applicable.
- I Not applicable.
- J Not applicable.

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year.

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	A	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	B	\$	—
b. 2015											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	C	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	D	\$	—
c. 2016											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	E	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	F	\$	—
d. Total for Risk Corridors	\$	—	\$	—	\$	—	\$	—		\$	—

Explanations of adjustments

- A Not applicable.
- B Not applicable.
- C Not applicable.
- D Not applicable.
- E Not applicable.
- F Not applicable.

24E(4)d (Columns 1 through 10) should equal 24E(3)c3 (Column 1 through 10 respectively)

(5) ACA Risk Corridors Receivable as of Reporting Date.

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Non-admissions) (1 - 2 - 3)	5 Non-admitted Amount	6 Net Admitted Asset (4 - 5)
a. 2014	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. 2015	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
c. 2016	\$ 8,305,585	\$ 8,305,585	\$ —	\$ —	\$ —	\$ —
d. Total (a + b + c)	\$ 8,305,585	\$ 8,305,585	\$ —	\$ —	\$ —	\$ —

24E(5)d (Columns 4) should equal 24E(3)c1 (Column 9)

24E(5)d (Columns 6) should equal 24E(2)c1

25. Change in Incurred Claims and Claim Adjustment Expenses

- A. The estimated cost of claims and claim adjustment expense attributable to insured events of prior years decreased by \$17,493,794 during 2018. This is approximately 11.0% of unpaid claims and claim adjustment expenses of \$158,450,564 as of December 31, 2017. The redundancy reflects the decreases in estimated claims and claims adjustment expenses as a result of claims payment during the year, and as additional information is received regarding claims incurred prior to 2018. Recent claim development trends are also taken into account in evaluating the overall adequacy of unpaid claims and unpaid claim adjustment expense.
- B. There were no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

26. Intercompany Pooling Arrangements

Not applicable.

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.  
NOTES TO FINANCIAL STATEMENTS

**27. Structured Settlements**

Not applicable.

**28. Health Care Receivables**

No significant change.

**29. Participating Policies**

Not applicable.

**30. Premium Deficiency Reserves**

The Company recorded premium deficiency reserves of \$15,004,259 at June 30, 2018.

**31. Anticipated Salvage and Subrogation**

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☒ No ☐

1.2

If yes, has the report been filed with the domiciliary state?

Yes ☒ No ☐

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes ☒ No ☐

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒

3.3

If the response to 3.2 is yes, provide a brief description of those changes.  
N/A

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☒ No ☐

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001156039

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes ☐ No ☒ N/A ☐

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2016

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/21/2016

6.4

By what department or departments?  
New York State Department of Financial Services

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ X ] No [ ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).  
Administrative changes were made in February 2018 to update the President & CEO letter, and to clarify our sexual harassment policy.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ ] No [ X ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$ .....0   | \$ .....0  |
| 14.22 Preferred Stock .....   | \$ .....0   | \$ .....0  |
| 14.23 Common Stock .....  | \$ .....0   | \$ .....0  |
| 14.24 Short-Term Investments .....  | \$ .....0   | \$ .....0  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0   | \$ .....0  |
| 14.26 All Other .....   | \$ .....0   | \$ .....0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0   | \$ .....0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....  | \$ .....   |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ ] No [ ]

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 4,495,665
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 4,493,970
- 16.3 Total payable for securities lending reported on the liability page

\$ 4,493,970

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?
- Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase Bank, N.A	383 Madison Ave, New York, NY 10179

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes ☐ No ☒

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anthem, Inc.	I
MacKay Shields LLC	U
McDonnell Investment Management, LLC	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?
- Yes ☐ No ☐

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?
- Yes ☒ No ☐

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107717	MacKay Shields LLC	549300Y7LLC0FU7R8H16	Securities Exchange Commission	NO
113878	McDonnell Investment Management, LLC		Securities Exchange Commission	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- Yes ☒ No ☐

- 18.2 If no, list exceptions:

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

- Has the reporting entity self-designated 5\*GI securities?
- Yes ☐ No ☒



GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

88.1 %

1.2 A&H cost containment percent

2.1 %

1.3 A&H expense percent excluding cost containment expenses

11.6 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes [ ] No [ X ]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

.\$
- 2.3

Do you act as an administrator for health savings accounts?

Yes [ ] No [ X ]
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date

.\$
3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [ X ] No [ ]
- 3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [ ] No [ ]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
NONE								

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

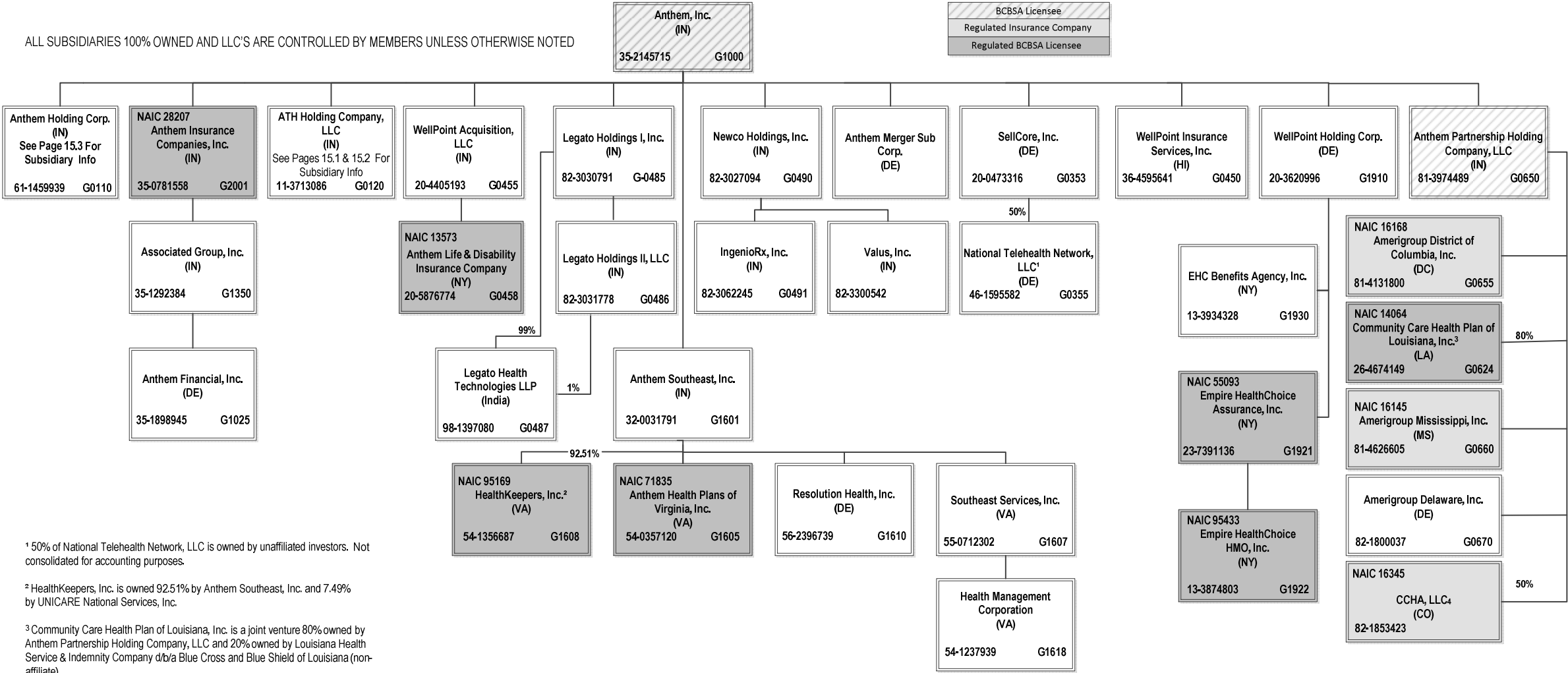
Current Year to Date - Allocated by States and Territories

			1	Direct Business Only						
			2	3	4	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
States, etc.			Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX				
1.	Alabama .....	AL	N						.0	
2.	Alaska .....	AK	N						.0	
3.	Arizona .....	AZ	N						.0	
4.	Arkansas .....	AR	N						.0	
5.	California .....	CA	N						.0	
6.	Colorado .....	CO	N						.0	
7.	Connecticut .....	CT	N						.0	
8.	Delaware .....	DE	N						.0	
9.	District of Columbia .....	DC	N						.0	
10.	Florida .....	FL	N						.0	
11.	Georgia .....	GA	N						.0	
12.	Hawaii .....	HI	N						.0	
13.	Idaho .....	ID	N						.0	
14.	Illinois .....	IL	N						.0	
15.	Indiana .....	IN	N						.0	
16.	Iowa .....	IA	N						.0	
17.	Kansas .....	KS	N						.0	
18.	Kentucky .....	KY	N						.0	
19.	Louisiana .....	LA	N						.0	
20.	Maine .....	ME	L	.0	27,693,731				27,693,731	
21.	Maryland .....	MD	N						.0	
22.	Massachusetts .....	MA	N						.0	
23.	Michigan .....	MI	N						.0	
24.	Minnesota .....	MN	N						.0	
25.	Mississippi .....	MS	N						.0	
26.	Missouri .....	MO	N						.0	
27.	Montana .....	MT	N						.0	
28.	Nebraska .....	NE	N						.0	
29.	Nevada .....	NV	N						.0	
30.	New Hampshire .....	NH	N						.0	
31.	New Jersey .....	NJ	N						.0	
32.	New Mexico .....	NM	N						.0	
33.	New York .....	NY	L	64,011,547	405,577,077				469,588,624	
34.	North Carolina .....	NC	N						.0	
35.	North Dakota .....	ND	N						.0	
36.	Ohio .....	OH	N						.0	
37.	Oklahoma .....	OK	N						.0	
38.	Oregon .....	OR	N						.0	
39.	Pennsylvania .....	PA	N						.0	
40.	Rhode Island .....	RI	N						.0	
41.	South Carolina .....	SC	N						.0	
42.	South Dakota .....	SD	N						.0	
43.	Tennessee .....	TN	N						.0	
44.	Texas .....	TX	N						.0	
45.	Utah .....	UT	N						.0	
46.	Vermont .....	VT	N						.0	
47.	Virginia .....	VA	N						.0	
48.	Washington .....	WA	N						.0	
49.	West Virginia .....	WV	N						.0	
50.	Wisconsin .....	WI	N						.0	
51.	Wyoming .....	WY	N						.0	
52.	American Samoa .....	AS	N						.0	
53.	Guam .....	GU	N						.0	
54.	Puerto Rico .....	PR	N						.0	
55.	U.S. Virgin Islands .....	VI	N						.0	
56.	Northern Mariana Islands .....	MP	N						.0	
57.	Canada .....	CAN	N						.0	
58.	Aggregate Other Aliens .....	OT	XXX	.0	.0	.0	.0	.0	.0	.0
59.	Subtotal .....	XXX		64,011,547	433,270,808	.0	.0	.0	497,282,355	.0
60.	Reporting Entity Contributions for Employee Benefit Plans .....	XXX							.0	
61.	Totals (Direct Business)	XXX		64,011,547	433,270,808	0	0	0	497,282,355	0
DETAILS OF WRITE-INS										
58001.	.....	XXX								
58002.	.....	XXX								
58003.	.....	XXX								
58998.	Summary of remaining write-ins for Line 58 from overflow page .....	XXX		.0	.0	.0	.0	.0	.0	.0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....	XXX		0	0	0	0	0	0	0

(a) Active Status Counts:  
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....2  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0  
N - None of the above - Not allowed to write business in the state.....55  
R - Registered - Non-domiciled RRGs.....0  
Q - Qualified - Qualified or accredited reinsurer.....0

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



<sup>1</sup> 50% of National Telehealth Network, LLC is owned by unaffiliated investors. Not consolidated for accounting purposes.

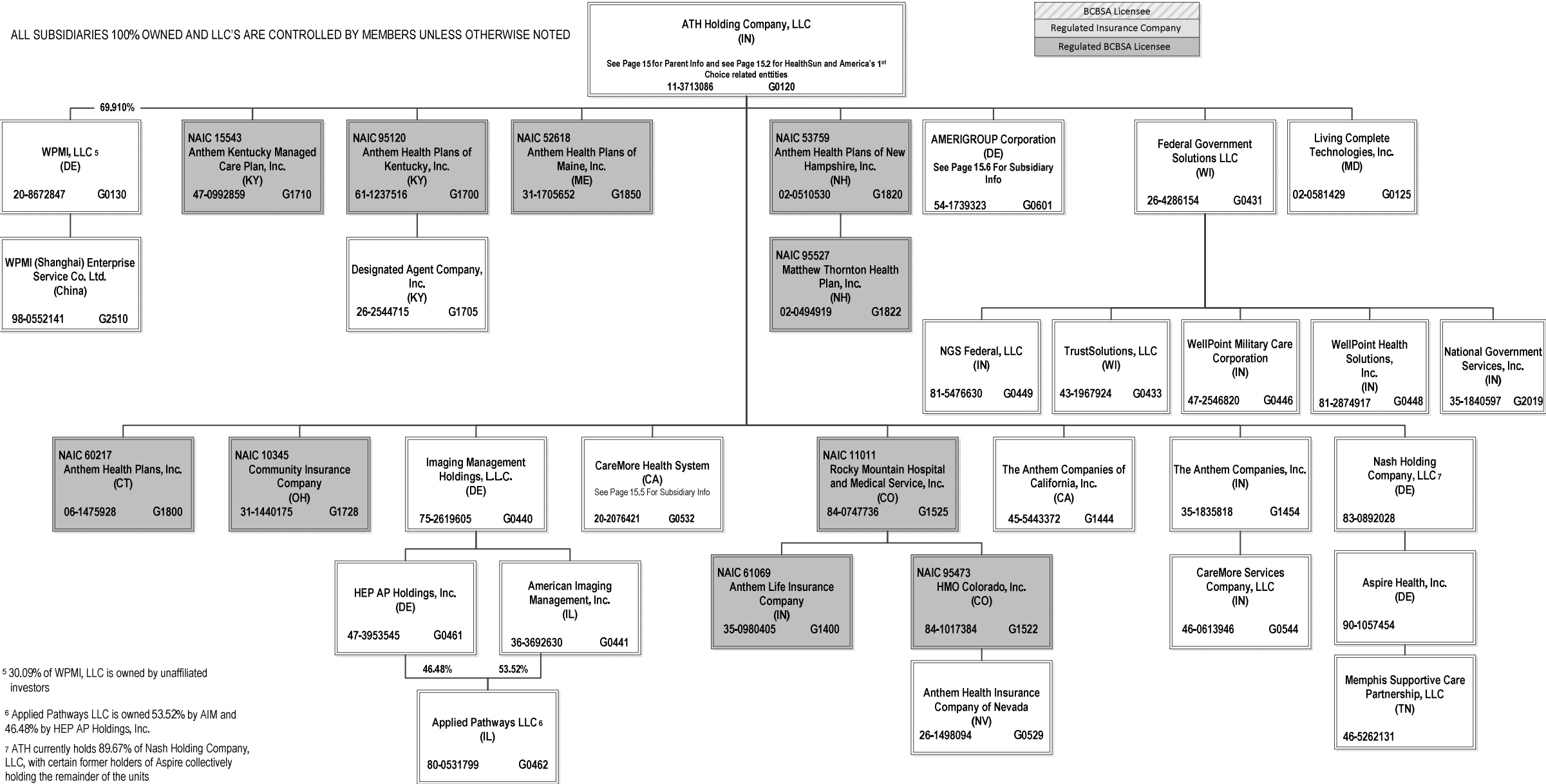
<sup>2</sup> HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

<sup>3</sup> Community Care Health Plan of Louisiana, Inc. is a joint venture 80% owned by Anthem Partnership Holding Company, LLC and 20% owned by Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana (non-affiliate)

<sup>4</sup> CCHA, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Colorado Community Health Alliance, LLC (non-affiliate)

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



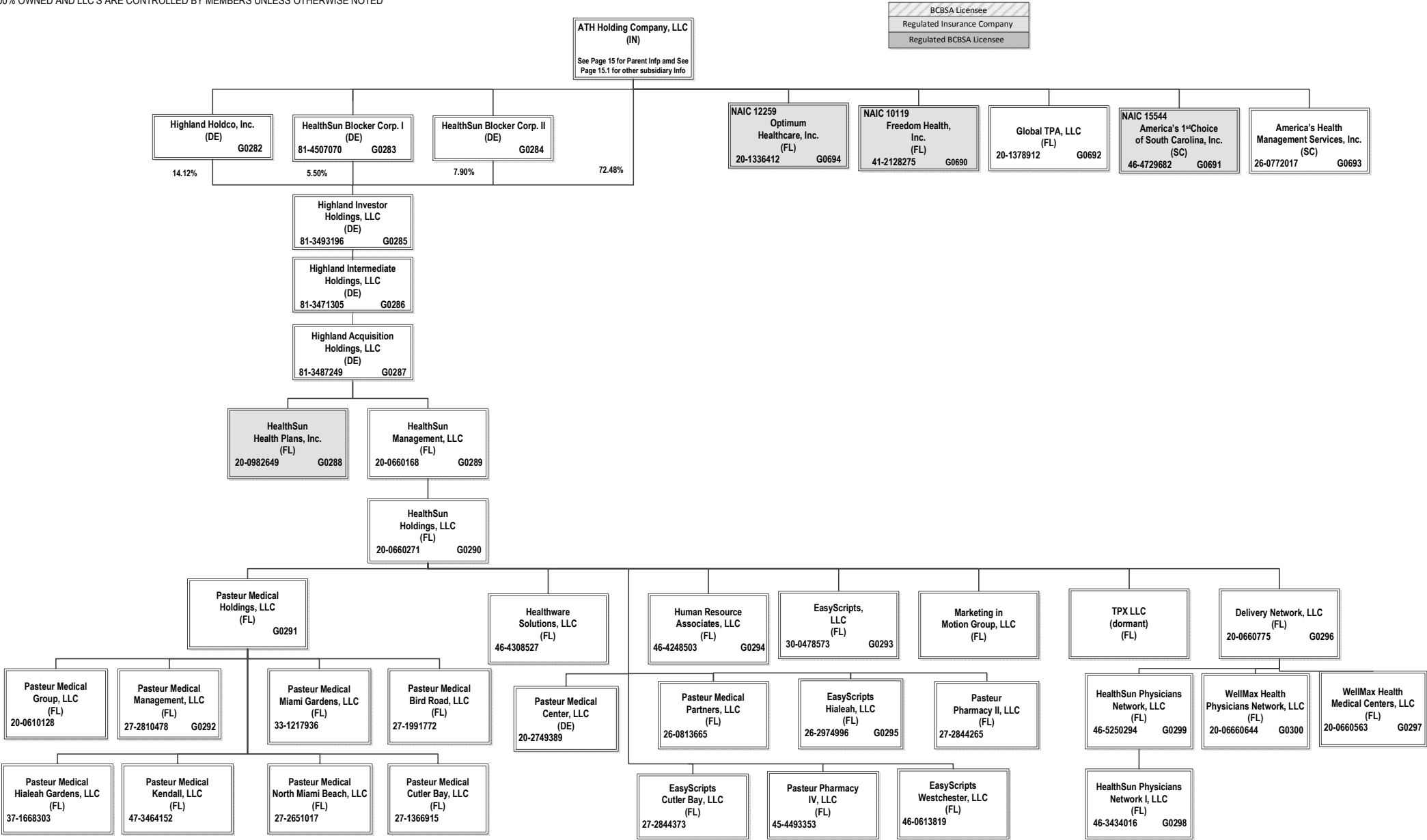
<sup>5</sup> 30.09% of WPMI, LLC is owned by unaffiliated investors

<sup>6</sup> Applied Pathways LLC is owned 53.52% by AIM and 46.48% by HEP AP Holdings, Inc.

<sup>7</sup> ATH currently holds 89.67% of Nash Holding Company, LLC, with certain former holders of Aspire collectively holding the remainder of the units

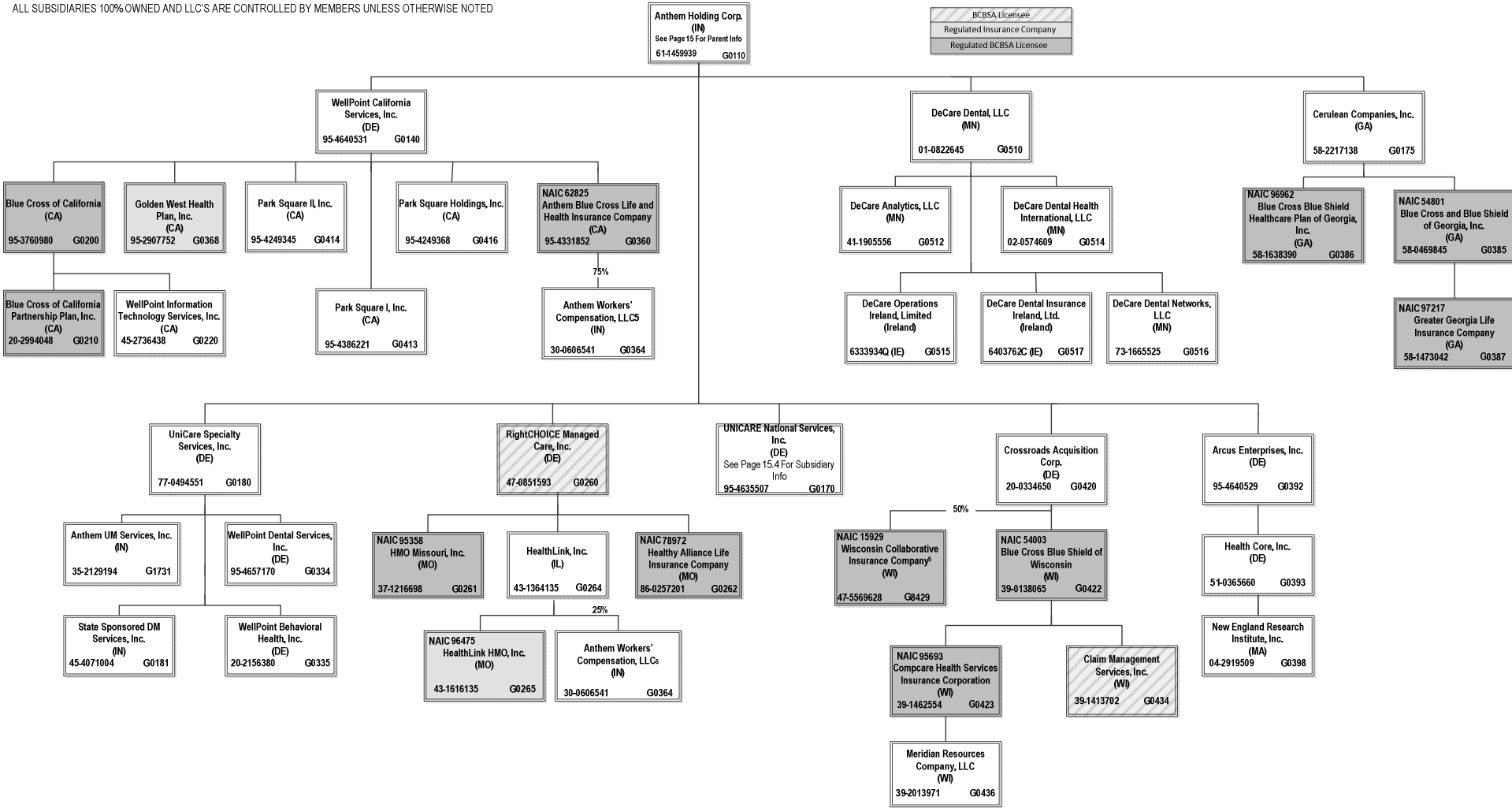
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

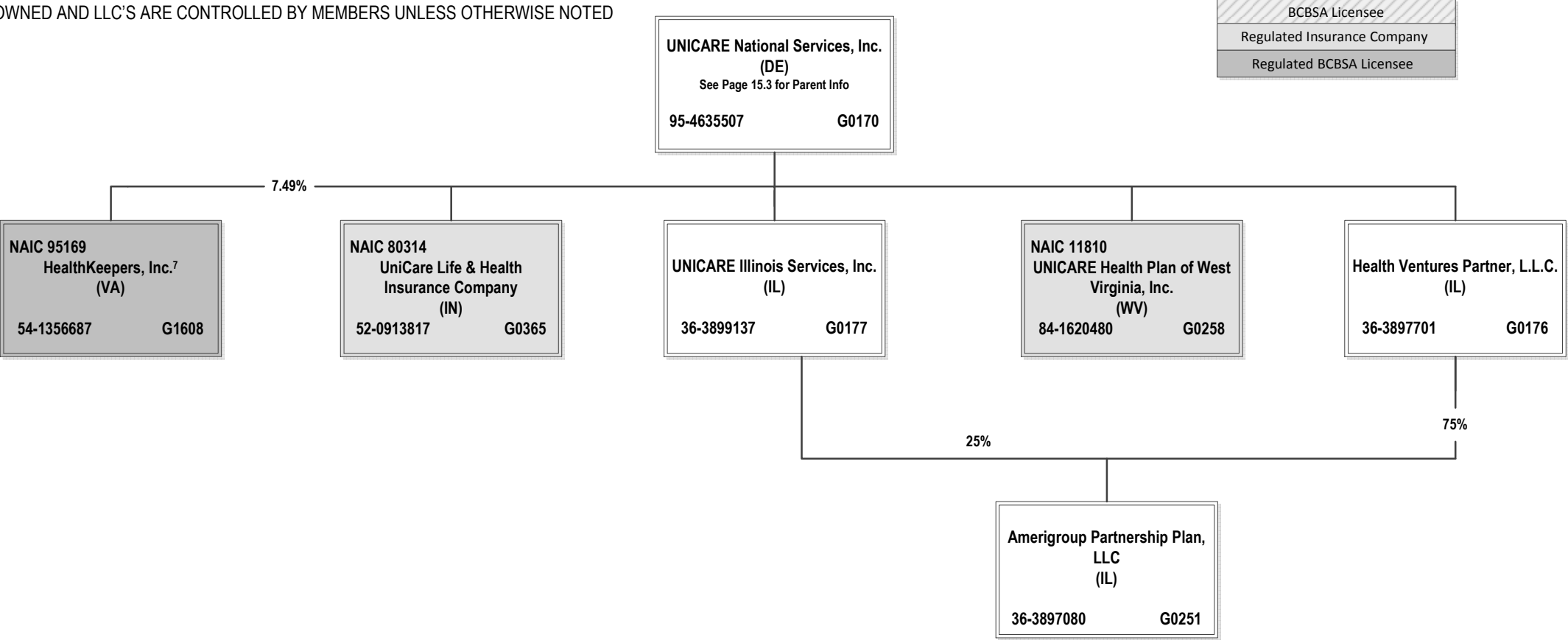


<sup>5</sup> 50% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate). Not consolidated for accounting purposes.

<sup>6</sup> Anthem Workers' Compensation LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



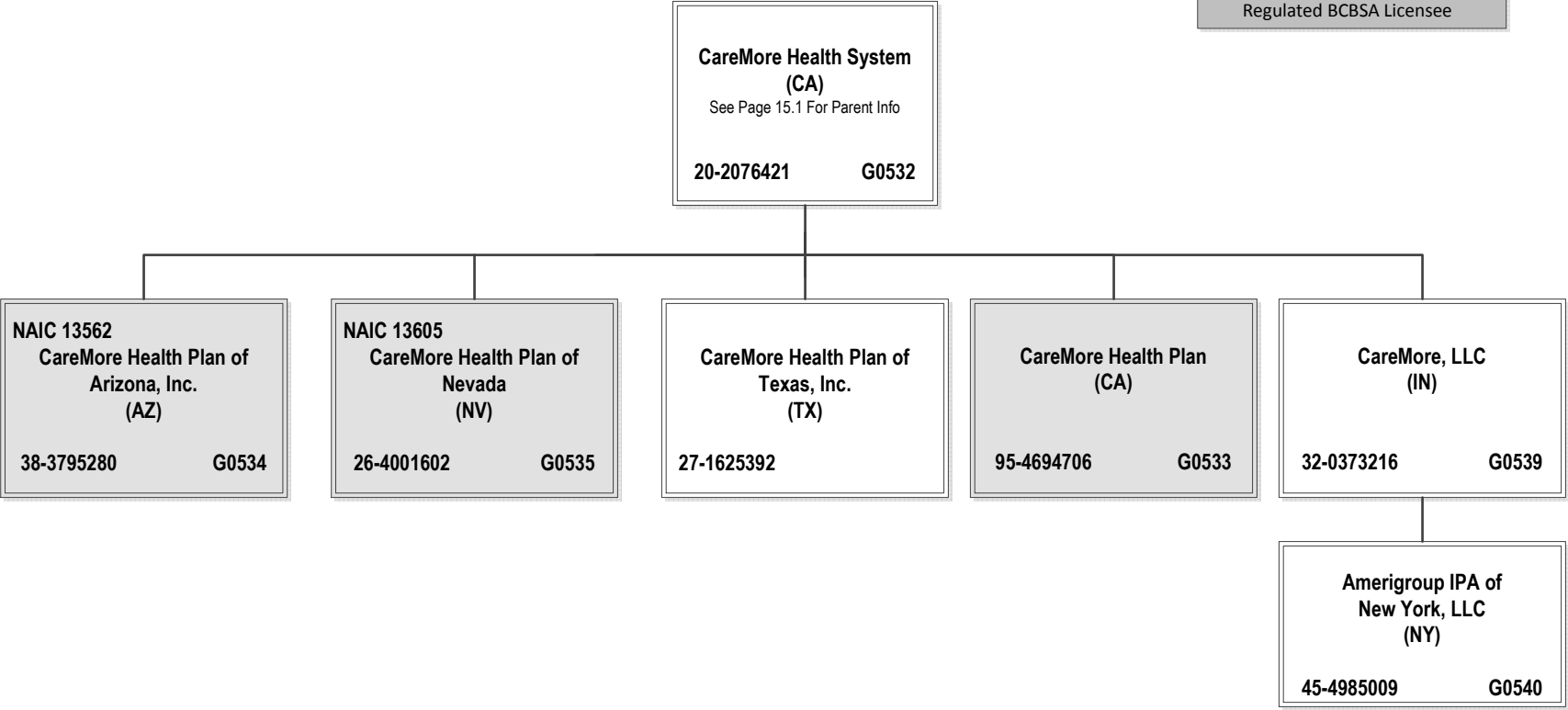
<sup>7</sup> HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

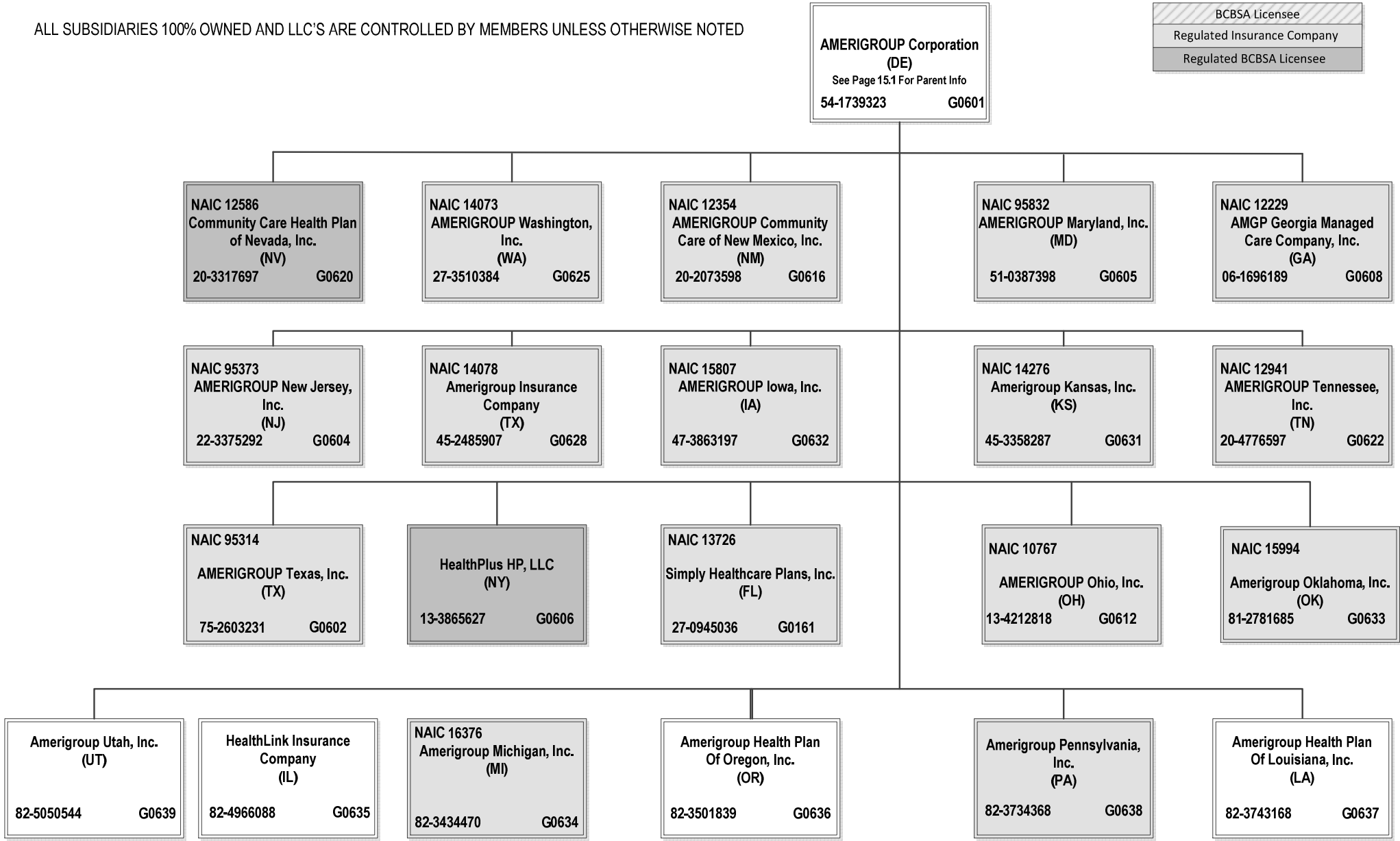
ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		36-3692630		0001156039		American Imaging Management, Inc. .... America's 1st Choice of South Carolina, Inc.	IL	NIA	Imaging Management Holdings, L.L.C. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15544	46-4729682		0001156039			SC	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		26-0772017		0001156039		America's Health Management Services, Inc. .... AMERIGROUP Community Care of New Mexico, Inc.	SC	NIA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12354	20-2073598		0001156039			NM	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		54-1739323		0001156039		AMERIGROUP Corporation .....	DE	NIA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-1800037		0001156039		AMERIGROUP Delaware, Inc. ....	DE	NIA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16168	81-4131800		0001156039		Amerigroup District of Columbia, Inc. ....	DC	IA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-3743168		0001156039		Amerigroup Health Plan of Louisiana, Inc. ....	LA	NIA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-3501839		0001156039		Amerigroup Health Plan of Oregon, Inc. ....	OR	NIA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14078	45-2485907		0001156039		Amerigroup Insurance Company .....	TX	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15807	47-3863197		0001156039		AMERIGROUP Iowa, Inc. ....	IA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		45-4985009		0001156039		Amerigroup IPA of New York, LLC .....	NY	NIA	CareMore, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14276	45-3358287		0001156039		Amerigroup Kansas, Inc. ....	KS	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95832	51-0387398		0001156039		AMERIGROUP Maryland, Inc. ....	MD	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-3434470		0001156039		Amerigroup Michigan, Inc. ....	MI	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	16145	81-4626605		0001156039		Amerigroup Mississippi, Inc. ....	MS	IA	Anthem Partnership Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95373	22-3375292		0001156039		AMERIGROUP New Jersey, Inc. ....	NJ	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	10767	13-4212818		0001156039		AMERIGROUP Ohio, Inc. ....	OH	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	15994	81-2781685		0001156039		AMERIGROUP Oklahoma, Inc. ....	OK	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC .....	IL	NIA	Health Ventures Partner, L.L.C. ....	Ownership.....	75.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC .....	IL	NIA	UNICARE Illinois Services, Inc. ....	Ownership.....	25.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-3734368		0001156039		Amerigroup Pennsylvania, Inc. ....	PA	IA	AMERIGROUP Corporation .....	Ownership.....	25.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12941	20-4776597		0001156039		AMERIGROUP Tennessee, Inc. ....	TN	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95314	75-2603231		0001156039		AMERIGROUP Texas, Inc. ....	TX	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	14073	27-3510384		0001156039		AMERIGROUP Washington, Inc. ....	WA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		82-5050544		0001156039		AMERIGROUP Utah, Inc. ....	UT	NIA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	12229	06-1696189		0001156039		AMGP Georgia Managed Care Company, Inc. .... Anthem Blue Cross Life and Health Insurance Company .....	GA	IA	AMERIGROUP Corporation .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	62825	95-4331852		0001156039			CA	IA	WellPoint California Services, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-1898945		0001156039		Anthem Financial, Inc. ....	DE	NIA	Associated Group, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		26-1498094		0001156039		Anthem Health Insurance Company of Nevada .....	NV	NIA	HMO Colorado, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	95120	61-1237516		0001156039		Anthem Health Plans of Kentucky, Inc. ....	KY	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.Y	.0108
.0671	Anthem, Inc.	52618	31-1705652		0001156039		Anthem Health Plans of Maine, Inc. ....	ME	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	53759	02-0510530		0001156039		Anthem Health Plans of New Hampshire, Inc. ....	NH	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	71835	54-0357120	40003317	0001156039		Anthem Health Plans of Virginia, Inc. ....	VA	IA	Anthem Southeast, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	60217	06-1475928		0001156039		Anthem Health Plans, Inc. ....	CT	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		61-1459939		0001156039	New York Stock Exchange (NYSE)	Anthem Holding Corp. ....	IN	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-2145715		0001156039		Anthem, Inc. ....	IN	UIP				Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	28207	35-0781558		0001156039		Anthem Insurance Companies, Inc. ....	IN	IA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.Y	.0108
.0671	Anthem, Inc.	15543	47-0992859		0001156039		Anthem Kentucky Managed Care Plan, Inc. ....	KY	IA	ATH Holding Company, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	13573	20-5876774		0001156039		Anthem Life & Disability Insurance Company .....	NY	IA	WellPoint Acquisition, LLC .....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.	61069	35-0980405		0001156039		Anthem Life Insurance Company .....	IN	IA	Rocky Mountain Hospital and Medical Service, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.				0001156039		Anthem Merger Sub Corp. ....	DE	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		81-3974489		0001156039		Anthem Partnership Holding Company, LLC .....	DE	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		32-0031791		0001156039		Anthem Southeast, Inc. ....	IN	NIA	Anthem, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		35-2129194		0001156039		Anthem UM Services, Inc. ....	IN	NIA	UNICARE Specialty Services, Inc. ....	Ownership.....	100.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC .....	IN	NIA	Anthem Blue Cross Life and Health Insurance Company .....	Ownership.....	75.000	Anthem, Inc. ....	.N	
.0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC .....	IN	NIA	HealthLink, Inc. ....	Ownership.....	25.000	Anthem, Inc. ....	.N	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.				0001156039		Applied Pathways, LLC	IL	NIA	American Imaging Management, Inc./HEP AP Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	.0107
.0671	Anthem, Inc.		95-4640529		0001156039		Arcus Enterprises, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		90-1057454		0001156039		Aspire Health, Inc.	DE	NIA	Nash Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1292384		0001156039		Associated Group, Inc.	IN	NIA	Anthem Insurance Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		11-3713086		0001156039		ATH Holding Company, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	54801	58-0469845		0001156039		Blue Cross and Blue Shield of Georgia, Inc.	GA	IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
							Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.	GA	IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	96962	58-1638390		0001156039		Blue Cross Blue Shield of Wisconsin	WI	IA	Crossroads Acquisition Corp.	Ownership	100.000	Anthem, Inc.	Y	
.0671	Anthem, Inc.	54003	39-0138065		0001156039		Blue Cross of California	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	.0101
							Blue Cross of California Partnership Plan, Inc.	CA	IA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	.0102
.0671	Anthem, Inc.		20-2994048		0001156039		CareMore Health Plan	CA	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	.0103
.0671	Anthem, Inc.		95-4694706		0001156039		CareMore Health Plan of Arizona, Inc.	AZ	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	13562	38-3795280		0001156039		CareMore Health Plan of Nevada	NV	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	13605	26-4001602		0001156039		CareMore Health Plan of Texas, Inc.	TX	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-1625392		0001156039		CareMore, LLC	IN	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		32-0373216		0001156039		CareMore Health System	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2076421		0001156039		Caremore Services Company, LLC	IN	NIA	The Anthem Companies, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-0613946		0001156039		Cerulean Companies, Inc.	GA	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		58-2217138		0001156039		Claim Management Services, Inc.	WI	NIA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		39-1413702		0001156039		CCHA, LLC	CO	IA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	N	.0107
		16345					Community Care Health Plan of Louisiana, Inc.	LA	IA	Anthem Partnership Holding Company, LLC	Ownership	80.000	Anthem, Inc.	N	.0109
.0671	Anthem, Inc.	14064	26-4674149		0001156039		Community Care Health Plan of Nevada, Inc.	NV	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	12586	20-3317697		0001156039		Community Insurance Company	OH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
		10345	31-1440175				Compcare Health Services Insurance Corporation	WI	IA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95693	39-1462554		0001156039		Crossroads Acquisition Corp.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0334650		0001156039		DeCare Analytics, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		41-1905556		0001156039		DeCare Dental Health International, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		02-0574609		0001156039		DeCare Dental Insurance Ireland, Ltd.	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		73-1665525		0001156039		DeCare Dental Networks, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		01-0822645		0001156039		DeCare Dental, LLC	MN	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		DeCare Operations Ireland, Limited	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660775		0001156039		Delivery Network, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-2544715		0001156039		Designated Agent Company, Inc.	KY	NIA	Anthem Health Plans of Kentucky, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2844373		0001156039		EasyScripts Cutler Bay, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		37-1668303		0001156039		EasyScripts Hialeah, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		30-0478573		0001156039		EasyScripts LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-0613819		0001156039		EasyScripts Westchester, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		13-3934328		0001156039		EHC Benefits Agency, Inc.	NY	NIA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	55093	73-7391136		0001156039		Empire HealthChoice Assurance, Inc.	NY	UDP	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95433	13-3874803		0001156039		Empire HealthChoice HMO, Inc.	NY	RE	Empire HealthChoice Assurance, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-4286154		0001156039		Federal Government Solutions, LLC	WI	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	10119	41-2128275		0001156039		Freedom Health, Inc.	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-1378912		0001156039		Global TPA, LLC	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-2907752		0001156039		Golden West Health Plan, Inc.	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	.0104
							Blue Cross and Blue Shield of Georgia, Inc.	GA	IA						
.0671	Anthem, Inc.	97217	58-1473042		0001156039		Greater Georgia Life Insurance Company	GA	IA		Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		51-0365660		0001156039		Health Core, Inc.	DE	NIA	Arcus Enterprises, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		54-1237939		0001156039		Health Management Corporation	VA	NIA	Southeast Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-3897701		0001156039		Health Ventures Partner, L.L.C.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	Anthem Southeast, Inc.	Ownership	92.510	Anthem, Inc.	N	
.0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	UNICARE National Services, Inc.	Ownership	7.490	Anthem, Inc.	N	
.0671	Anthem, Inc.	96475	43-1616135		0001156039		HealthLink HMO, Inc.	MO	IA	HealthLink, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		43-1364135		0001156039		HealthLink, Inc.	IL	NIA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-4966088		0001156039		HealthLink Insurance Company	IL	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		13-3865627		0001156039		HealthPlus HP, LLC	NY	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	.0100
.0671	Anthem, Inc.		81-4507070		0001156039		HealthSun Blocker Corp. I	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-4496643		0001156039		HealthSun Blocker Corp. II	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	10122	20-0982649		0001156039		HealthSun Health Plans, Inc.	FL	IA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660271		0001156039		HealthSun Holdings, LLC	FL	NIA	HealthSun Management, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660168		0001156039		HealthSun Management, LLC	FL	NIA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-5250294		0001156039		HealthSun Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-3434016		0001156039		HealthSun Physicians Network I, LLC	FL	NIA	HealthSun Physicians Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-4308527		0001156039		Healthware Solutions, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	78972	86-0257201		0001156039		Healthy Alliance Life Insurance Company	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-3953545		0001156039		HEP AP Holdings, Inc.	DE	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3867547		0001156039		Highland Holdco, Inc.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3487249		0001156039		Highland Acquisition Holdings, LLC	DE	NIA	Highland Intermediate Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3471305		0001156039		Highland Intermediate Holdings, LLC	DE	NIA	Highland Investor Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3493196		0001156039		Highland Investor Holdings, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
										Rocky Mountain Hospital and Medical Service, Inc.	Ownership	100.000	Anthem, Inc.	Y	.0108
.0671	Anthem, Inc.	95473	84-1017384		0001156039		HMO Colorado, Inc.	CO	IA	Service, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95358	37-1216698		0001156039		HMO Missouri, Inc.	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Human Resource Associates, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		75-2619605		0001156039		Imaging Management Holdings, L.L.C.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3062245		0001156039		IngenioRX, Inc.	IN	NIA	Newco Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Legato Health Technologies LLC	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	.0110
.0671	Anthem, Inc.		82-3030791		0001156039		Legato Holdings I, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3031178		0001156039		Legato Holdings II, Inc.	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		02-0581429		0001156039		Living Complete Technologies, Inc.	MD	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Marketing in Motion Gorup, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
										Anthem Health Plans of New Hampshire, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95527	02-0494919		0001156039		Matthew Thornton Health Plan, Inc.	NH	IA		Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-5262131		0001156039		Memphis Supportive Care Partnership, LLC	TN	NIA	Nash Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
										Compcare Health Services Insurance Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		39-2013971		0001156039		Meridian Resource Company, LLC	WI	NIA		Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		83-0892028		0001156039		Nash Holding Company, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	.0111
.0671	Anthem, Inc.		35-1840597		0001156039		National Government Services, Inc.	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-1595582		0001156039		National Telehealth Network, LLC	DE	NIA	Sellcore, Inc.	Ownership	50.000	Anthem, Inc.	N	.0105
.0671	Anthem, Inc.		04-2919509		0001156039		New England Research Institute, Inc.	MA	NIA	Health Core, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3027094		0001156039		Newco Holdings, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-5476630		0001156039		NGS Federal, LLC	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	12259	20-1336412		0001156039		Optimum Healthcare, Inc.	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4249368		0001156039		Park Square Holdings, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4386221		0001156039		Park Square I, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4249345		0001156039		Park Square II, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-1991772		0001156039		Pasteur Medical Birds Road, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2749389		0001156039		Pasteur Medical Center, LLC	DE	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-1366915		0001156039		Pasteur Medical Cutler Bay, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0610128		0001156039		Pasteur Medical Group, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		37-1668303		0001156039		Pasteur Medical Hialeah Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-1616220		0001156039		Pasteur Medical Holdings, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-3464152		0001156039		Pasteur Medical Kendall, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2810478		0001156039		Pasteur Medical Management, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		33-1217936		0001156039		Pasteur Medical Miami Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2651017		0001156039		Pasteur Medical North Miami Beach, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-0813665		0001156039		Pasteur Medical Partners, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2844265		0001156039		Pasteur Pharmacy II, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-4493353		0001156039		Pasteur Pharmacy IV, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		56-2396739		0001156039		Resolution Health, Inc.	DE	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-0851593		0001156039		RightCHOICE Managed Care, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	11011	84-0747736		0001156039		Rocky Mountain Hospital and Medical Service, Inc.	CO	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0473316		0001156039		SellCore, Inc.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	13726	27-0945036		0001156039		Simply Healthcare Plans, Inc.	FL	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		55-0712302		0001156039		Southeast Services, Inc.	VA	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-4071004		0001156039		State Sponsored DM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1835818		0001156039		The Anthem Companies, Inc.	IN	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-5443372		0001156039		The Anthem Companies of California, Inc.	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		TPX LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		43-1967924		0001156039		TrustSolutions, LLC	WI	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	11810	84-1620480		0001156039		UNICARE Health Plan of West Virginia, Inc.	WV	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-3899137		0001156039		UNICARE Illinois Services, Inc.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	80314	52-0913817		0001156039		UNICARE Life & Health Insurance Company	IN	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4635507		0001156039		UNICARE National Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3300542		0001156039		UNICARE Specialty Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660563		0001156039		Valus, Inc.	IN	NIA	IngenioRX, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660644		0001156039		WellMax Health Medical Centers, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-4014617		0001156039		WellMax Health Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-4405193		0001156039		WellPoint Acquisition, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2156380		0001156039		WellPoint Behavioral Health, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4640531		0001156039		WellPoint California Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4657170		0001156039		WellPoint Dental Services, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-2874917		0001156039		WellPoint Health Solutions, Inc.	DE	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-3620996		0001156039		WellPoint Holding Corp	DE	UIP	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-2736438		0001156039		WellPoint Information Technology Services, Inc.	CA	NIA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-2546820		0001156039		WellPoint Insurance Services, Inc.	HI	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-2546820		0001156039		WellPoint Military Care Corporation	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	15929	47-5569628		0001156039		Wisconsin Collaborative Insurance Company	WI	IA	Crossroads Acquisition Corp.	Ownership	50.000	Anthem, Inc.	N	0107
.0671	Anthem, Inc.		98-0552141		0001156039		WPMI (Shanghai) Enterprise Service Co. Ltd.	CHN	NIA	WPMI, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-8672847		0001156039		WPMI, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	69.910	Anthem, Inc.	N	0106

Asterisk	Explanation
0100	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the New York State Department of Health.
0101	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0102	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0103	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0104	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0105	50% owned by unaffiliated investors
0106	30.09% owned by unaffiliated investors
0107	50% owned by an unaffiliated investor
0108	Received exemption from domestic regulator
0109	20% owned by an unaffiliated investor

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

Asterisk	Explanation
0110 .....	Legato Health Technologies LLP is a Limited Liabilty Partnership formed under the laws of India, and is 99% owned by Legato Holdings I, Inc. an Indiana corporation, and 1% owned by Legato Holdings II, LLC, an Indiana Limited Liability company. ....
0111 .....	ATH Holding Company, LLC holds an 89.67% ownership interest with certain former holders of Aspire Health, Inc. collectively holding the remainder of the shares .....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]





OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. City Income Tax Recoverable .....	4,134,880	0	4,134,880	0
2505. Medicare Receivables .....	1,920,452	0	1,920,452	1,413,548
2506. Prepaid Expenses .....	3,216,060	2,256,246	959,814	771,872
2507. Blue Card Receivables .....	184,444	177,452	6,992	6,131
2508. Miscellaneous Receivables .....	6,853	6,853	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	9,462,689	2,440,551	7,022,138	2,191,551

Additional Write-ins for Liabilities Line 23

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. Accounts Payable - Miscellaneous .....	863,806		863,806	271,618
2305. Out of Area Program Payable .....	273,256		273,256	306,026
2306. Cost sharing reduction liability .....	12,407		12,407	81,680
2397. Summary of remaining write-ins for Line 23 from overflow page	1,149,469	0	1,149,469	659,324

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	364,393,290	408,710,903
2. Cost of bonds and stocks acquired .....	58,204,096	109,054,150
3. Accrual of discount .....	205,203	242,544
4. Unrealized valuation increase (decrease) .....	113,269	(32,049)
5. Total gain (loss) on disposals .....	(818,059)	1,227,030
6. Deduct consideration for bonds and stocks disposed of .....	95,423,700	150,720,653
7. Deduct amortization of premium .....	1,672,439	4,088,635
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	325,001,660	364,393,290
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	325,001,660	364,393,290

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	259,935,635	33,233,622	41,855,995	(6,687,870)	259,935,635	244,625,392	0	266,413,722
2. NAIC 2 (a) .....	105,216,594	5,048,696	38,756,162	5,990,875	105,216,594	77,500,003	0	95,887,561
3. NAIC 3 (a) .....	723,670	0	0	(2,756)	723,670	720,914	0	819,757
4. NAIC 4 (a) .....	793,311	0	40,005	1,303	793,311	754,609	0	
5. NAIC 5 (a) .....	1,358,000	0	0	42,742	1,358,000	1,400,742	0	1,272,250
6. NAIC 6 (a) .....	0				0	0		
7. Total Bonds	368,027,210	38,282,318	80,652,162	(655,706)	368,027,210	325,001,660	0	364,393,290
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0		0
9. NAIC 2 .....	0				0	0		0
10. NAIC 3 .....	0				0	0		0
11. NAIC 4 .....	0				0	0		0
12. NAIC 5 .....	0				0	0		0
13. NAIC 6 .....	0				0	0		0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	368,027,210	38,282,318	80,652,162	(655,706)	368,027,210	325,001,660	0	364,393,290

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals					

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	3,317,389
2. Cost of short-term investments acquired .....	0	307,921,659
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	0	(899)
6. Deduct consideration received on disposals .....	0	311,238,149
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,543,948	
2. Cost of cash equivalents acquired .....	15,646,238	18,396,818
3. Accrual of discount .....	8,428	
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(22)	
6. Deduct consideration received on disposals .....	19,198,592	14,852,870
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	3,543,948
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	0	3,543,948

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
416415-BW-6	HARTFORD CT SERIES A 5.000% 04/01/23		.04/25/2018	Stifel Nicolaus & Co		117,405	110,000	.397	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						117,405	110,000	.397	XXX
130795-VL-8	CALIFORNIA STATEWIDE CNTYS SERIES B 1.200% 08/15/47		.06/15/2018	Wells Fargo		2,100,000	2,100,000	.541	1FE
41315R-GU-2	HARRIS CNTY TX HLTH FAC 0.820% 12/01/41		.04/04/2018	J P Morgan		400,000	400,000	.34	1FE
414010-AA-6	HARRIS CNTY TX CULTURAL EDU VRDO 0.890% 09/01/31		.05/29/2018	J P Morgan		200,000	200,000	.185	1FE
57585K-GP-7	MASSACHUSETTS ST HEALTH & ED SERIES R 2.940% 11/01/49		.04/16/2018	J P Morgan		1,300,000	1,300,000	.742	1FE
576049-YH-7	MASSACHUSETTS ST WTR RES AUTH SERIES C 1.420% 08/01/20		.05/01/2018	Barclays		800,000	800,000	.0	1FE
59261E-AM-1	MET TRANSPRTN AUTH NY SPL OBLG 3.218% 07/01/26		.06/13/2018	ROBERT W. BAIRD CO. INCO		267,840	270,000	.3,958	1FE
837123-HD-3	SOUTH CAROLINA ST PORTS AUTH 5.000% 07/01/32		.05/31/2018	Merrill Lynch Pierce Fenner		789,086	680,000	.0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						5,856,926	5,750,000	5,460	XXX
00138C-AH-1	AIG GLOBAL FUNDING SERIES 144A 2.795% 06/25/21		.06/20/2018	Deutsche Bank		1,900,000	1,900,000	.0	1FE
00206R-FV-9	AT&T INC 4.900% 08/14/37		.04/05/2018	Tax Free Exchange		1,453,199	1,450,000	.11,052	2FE
00912X-AV-6	AIR LEASE CORP 3.625% 04/01/27		.04/13/2018	Goldman Sachs & Co		617,058	650,000	.1,047	2FE
05564U-AM-2	BMW FLOORPLAN MASTER OWNER TRU SERIES 2018-1 CLASS A1 144A 3.150% 05/15/23		.06/06/2018	Mitsubishi Securities		949,784	950,000	.0	1FE
07274N-AG-8	BAYER US FINANCE II LLC SERIES 144A 3.351% 12/15/23		.06/18/2018	J P Morgan		2,200,000	2,200,000	.0	2FE
172967-MB-4	CITIGROUP INC 3.562% 07/01/26		.06/05/2018	Salomon Bros		1,500,000	1,500,000	.0	2FE
233851-DK-7	DAIMLER FINANCE NA LLC SERIES 144A 2.913% 05/04/21		.04/30/2018	Goldman Sachs & Co		400,000	400,000	.0	1FE
24422E-UG-2	JOHN DEERE CAPITAL CORP SERIES GMTN 2.869% 06/07/23		.06/04/2018	RBC DOMINION SECURITIES		1,500,000	1,500,000	.0	1FE
37045X-CJ-3	GENERAL MOTORS FINL CO 3.550% 04/09/21		.04/05/2018	J P Morgan		399,844	400,000	.0	2FE
38141G-XD-1	GOLDMAN SACHS GROUP INC 3.513% 05/15/26		.05/14/2018	Goldman Sachs & Co		1,375,000	1,375,000	.0	1FE
46849L-TA-9	JACKSON NAT LIFE GLOBAL SERIES 144A 2.807% 06/11/21		.06/06/2018	Goldman Sachs & Co		1,500,000	1,500,000	.0	1FE
92343V-EP-5	VERIZON COMMUNICATIONS 3.443% 05/15/25		.05/08/2018	Goldman Sachs & Co		1,750,000	1,750,000	.0	2FE
93114Z-EH-2	WALMART INC 2.565% 06/23/21		.06/20/2018	Salomon Bros		3,000,000	3,000,000	.0	1FE
71654Q-CG-5	PETROLEOS MEXICANOS SERIES WI 6.500% 03/13/27	D	.04/01/2018	Tax Free Exchange		1,478,438	1,400,000	.2,528	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						20,023,323	19,975,000	14,627	XXX
8399997. Total - Bonds - Part 3						25,997,654	25,835,000	20,484	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						25,997,654	25,835,000	20,484	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						25,997,654	XXX	20,484	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....



STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Design- ation or Market In- dicator (a)
912828-TV-2	US TREASURY N/B 1.250% 10/31/19		06/29/2018	1922-EHCHMO-Guaranty Fund		103,231	103,000	103,599	103,316	.0	(85)	.0	(85)	.0	103,231	.0	.0	.0	.854	10/31/2019	1
0599999. Subtotal - Bonds - U.S. Governments						103,231	103,000	103,599	103,316	.0	(85)	.0	(85)	.0	103,231	.0	.0	.0	.854	XXX	XXX
71656L-BQ-3	PETROLEOS MEXICANOS SERIES 144A 6.500% 03/13/27	D	04/01/2018	Tax Free Exchange		1,478,439	1,400,000	1,483,450	1,479,969	.0	(1,530)	.0	(1,530)	.0	1,478,439	.0	.0	.0	.48,028	03/13/2027	2FE
1099999. Subtotal - Bonds - All Other Governments						1,478,439	1,400,000	1,483,450	1,479,969	.0	(1,530)	.0	(1,530)	.0	1,478,439	.0	.0	.0	.48,028	XXX	XXX
13063B-4K-5	CALIFORNIA ST 5.000% 10/01/24		04/16/2018	Call 100,000		660,000	660,000	714,206	663,136	.0	(3,136)	.0	(3,136)	.0	660,000	.0	.0	.0	.17,875	10/01/2024	1FE
13063D-FJ-2	CALIFORNIA ST 5.000% 10/01/26		06/27/2018	Stern Brothers		208,121	175,000	206,840	.0	.0	(1,040)	.0	(1,040)	.0	205,800	.0	2,321	2,321	.5,528	10/01/2026	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						868,121	835,000	921,046	663,136	.0	(4,176)	.0	(4,176)	.0	865,800	.0	2,321	2,321	.20,403	XXX	XXX
006112-BZ-8	ADAMS COUNTY OHIO VLY LOCAL S 4.000% 12/01/20		05/29/2018	Pershing		258,415	250,000	256,498	252,391	.0	(326)	.0	(326)	.0	252,065	.0	.6,350	.6,350	.5,000	12/01/2020	1Z
442331-U4-4	HOUSTON TEX SERIES A 5.000% 03/01/28		06/25/2018	ROBERT W. BAIRD CO. INCO		320,851	275,000	329,269	329,004	.0	(2,582)	.0	(2,582)	.0	326,422	.0	(5,571)	(5,571)	.7,410	03/01/2028	1FE
697527-AW-9	PALOMAR HLTH CA SERIES B 5.000% 08/01/29		06/26/2018	Piper Jaffray & Hopwood Inc		847,308	735,000	849,535	841,316	.0	(5,369)	.0	(5,369)	.0	835,947	.0	.11,361	.11,361	.33,381	08/01/2029	1FE
717883-TR-3	PHILADELPHIA PA SCH DIST SERIES F 5.000% 09/01/27		06/27/2018	Various		1,552,205	1,360,000	1,585,474	1,562,743	.0	(10,185)	.0	(10,185)	.0	1,552,558	.0	(353)	(353)	.56,236	09/01/2027	1FE
825434-5D-1	SHREVEPORT LA 5.000% 08/01/29		06/25/2018	SUMRIDGE PARTNERS LLC		241,103	210,000	241,728	.0	.0	(1,394)	.0	(1,394)	.0	240,334	.0	.769	.769	.5,521	08/01/2026	1FE
970013-FQ-6	WILLIAMSON JACKSON ETC CNTYS I 5.000% 12/01/28		06/25/2018	Pershing		184,841	165,000	190,408	188,845	.0	(1,143)	.0	(1,143)	.0	187,702	.0	(2,861)	(2,861)	.4,721	12/01/2028	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						3,404,723	2,995,000	3,452,936	3,416,027	.0	(20,999)	.0	(20,999)	.0	3,395,028	.0	9,695	9,695	.111,969	XXX	XXX
015086-MY-4	ALEXANDRIA LA UTILITIES REVENU 4.000% 05/01/24		06/27/2018	SUMRIDGE PARTNERS LLC		145,461	135,000	142,393	139,884	.0	(345)	.0	(345)	.0	139,539	.0	.5,923	.5,923	.3,570	05/01/2024	1FE
130795-VL-8	CALIFORNIA STATEWIDE CMNTYS SERIES B 1.200% 08/15/47		06/25/2018	Wells Fargo		2,100,000	2,100,000	2,100,000	.0	.0	.0	.0	.0	.0	2,100,000	.0	.0	.0	.1,246	08/15/2047	1FE
18604T-BD-9	CLEVELAND CNTY OK EDUCNTL FACS 5.000% 06/01/18		06/01/2018	Maturity		1,350,000	1,350,000	1,515,294	1,365,231	.0	(15,231)	.0	(15,231)	.0	1,350,000	.0	.0	.0	.33,750	06/01/2018	1FE
235036-R6-9	DALLAS FORT WORTH TEX INTL APP SERIES G 5.000% 11/01/18		06/25/2018	National Financial Services		273,056	270,000	310,630	277,042	.0	(4,121)	.0	(4,121)	.0	272,921	.0	.135	.135	.8,850	11/01/2018	1FE
247888-BP-4	DELTONA FL UTILITY SYS REVENUE 5.000% 10/01/19		06/25/2018	Citigroup		338,237	325,000	368,261	338,198	.0	(3,629)	.0	(3,629)	.0	334,569	.0	.3,668	.3,668	.12,007	10/01/2019	1FE
3128M6-AP-3	FHLMC GIANT POOL G04214 5.500% 05/01/38		06/01/2018	Paydown		11,397	11,397	11,507	11,498	.0	(101)	.0	(101)	.0	11,397	.0	.0	.0	.271	05/01/2038	1
3128M6-LG-1	FHLMC GIANT POOL G04527 5.500% 07/01/38		06/01/2018	Paydown		9,230	9,230	8,992	9,005	.0	225	.0	225	.0	9,230	.0	.0	.0	.213	07/01/2038	1
3128MJ-GA-2	FHLMC GOLD POOL G08192 5.500% 04/01/37		06/01/2018	Paydown		6,836	6,836	6,714	6,721	.0	114	.0	114	.0	6,836	.0	.0	.0	.160	04/01/2037	1
3128MJ-UP-6	FHLMC GOLD POOL G08269 5.500% 05/01/38		06/01/2018	Paydown		10,365	10,365	10,224	10,230	.0	135	.0	135	.0	10,365	.0	.0	.0	.251	05/01/2038	1
3128MM-D6-7	FHLMC GOLD POOL G18124 6.000% 06/01/21		06/01/2018	Paydown		9,081	9,081	9,081	9,081	.0	.0	.0	.0	.0	9,081	.0	.0	.0	.235	06/01/2021	1
31359N-QC-8	FNMA ACES SERIES 1997-10 CLASS ZA 6.600% 03/18/27		06/01/2018	Paydown		11,574	11,574	11,807	11,701	.0	(127)	.0	(127)	.0	11,574	.0	.0	.0	.315	03/18/2027	1
31371L-F2-0	FNMA POOL 254985 5.000% 11/01/23		06/01/2018	Paydown		11,171	11,171	10,610	10,826	.0	346	.0	346	.0	11,171	.0	.0	.0	.230	11/01/2023	1
31371L-VJ-5	FNMA POOL 255417 6.000% 09/01/24		06/01/2018	Paydown		5,078	5,078	5,070	5,065	.0	.13	.0	.13	.0	5,078	.0	.0	.0	.125	09/01/2024	1
31371L-W3-9	FNMA POOL 255486 6.000% 10/01/24		06/01/2018	Paydown		5,254	5,245	5,245	5,240	.0	.13	.0	.13	.0	5,254	.0	.0	.0	.132	10/01/2024	1
31371M-TC-1	FNMA POOL 256247 6.000% 05/01/26		06/01/2018	Paydown		5,954	5,938	5,938	5,936	.0	.19	.0	.19	.0	5,954	.0	.0	.0	.156	05/01/2026	1
31385X-F9-3	FNMA POOL 555592 5.500% 07/01/33		06/01/2018	Paydown		6,208	6,208	6,004	6,026	.0	182	.0	182	.0	6,208	.0	.0	.0	.141	07/01/2033	1
31402D-C2-4	FNMA POOL 725589 5.000% 07/01/34		06/01/2018	Paydown		35,288	35,288	36,297	36,187	.0	(900)	.0	(900)	.0	35,288	.0	.0	.0	.731	07/01/2034	1
31402D-US-0	FNMA POOL 725773 5.500% 09/01/34		06/01/2018	Paydown		10,977	10,977	10,609	10,641	.0	336	.0	336	.0	10,977	.0	.0	.0	.251	09/01/2034	1
31402Q-Y3-9	FNMA POOL 735230 5.500% 02/01/35		06/01/2018	Paydown		7,704	7,704	7,444	7,466	.0	238	.0	238	.0	7,704	.0	.0	.0	.177	02/01/2035	1
31402R-DG-1	FNMA POOL 735503 6.000% 04/01/35		06/01/2018	Paydown		13,244	13,244	13,143	13,148	.0	.96	.0	.96	.0	13,244	.0	.0	.0	.330	04/01/2035	1
31403C-GL-0	FNMA POOL 745275 5.000% 02/01/36		06/01/2018	Paydown		18,808	18,808	19,441	19,387	.0	(579)	.0	(579)	.0	18,808	.0	.0	.0	.390	02/01/2036	1
31407Y-R6-8	FNMA POOL 844809 5.000% 11/01/35		06/01/2018	Paydown		5,832	5,832	5,478	5,498	.0	.335	.0	.335	.0	5,832	.0	.0	.0	.118	11/01/2035	1
3140FX-FR-8	FNMA POOL BF0175 2.500% 01/01/57		06/01/2018	Paydown		33,449	33,449	31,997	8,548	.0	1,489	.0	1,489	.0	33,449	.0	.0	.0	.383	01/01/2057	1
31413B-TW-1	FNMA POOL 940765 5.500% 06/01/37		06/01/2018	Paydown		873	873	904	.902	.0	(29)	.0	(29)	.0	.873	.0	.0	.0	.20	06/01/2037	1
31419A-ZK-6	FNMA POOL AE0745 6.000% 03/01/24		06/01/2018	Paydown		14,179	14,179	15,424	14,973	.0	(794)	.0	(794)	.0	14,179	.0	.0	.0	.353	03/01/2024	1
41315R-GU-2	HARRIS CNTY TX HLTH FAG 0.820% 12/01/41		06/25/2018	J P Morgan		400,000	400,000	400,000	.0	.0	.0	.0	.0	.0	400,000	.0	.0	.0	.1,296	12/01/2041	1FE
414010-AA-6	HARRIS CNTY TX CULTURAL EDU VRDO 0.890% 09/01/31		06/25/2018	J P Morgan		200,000	200,000	200,000	.0	.0	.0	.0	.0	.0	200,000	.0	.0	.0	.366	09/01/2031	1FE

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
45204E-BT-4	ILLINOIS ST FIN AUTH REVENUE 5.000% 12/01/33		06/26/2018	Citigroup		1,312,749	1,190,000	1,406,235	1,375,407	.0	(9,639)	.0	(9,639)	.0	1,365,768	.0	(53,020)	(53,020)	34,213	12/01/2033	1FE
45204E-HN-1	ILLINOIS ST FIN AUTH REVENUE SERIES A 5.000% 10/01/28		06/22/2018	Stifel Nicolaus & Co		632,995	550,000	693,110	675,869	.0	(6,401)	.0	(6,401)	.0	669,468	.0	(36,473)	(36,473)	20,243	10/01/2028	1FE
462590-JE-1	IOWA ST STUDENT LOAN LIQUIDITY 4.875% 12/01/20		06/01/2018	Call 100.0000		160,000	160,000	160,000	160,000	.0	.0	.0	.0	.0	160,000	.0	.0	.0	3,900	12/01/2020	1FE
46613S-FY-1	JEA FL ELEC SYS REVENUE SERIES B 4.000% 10/01/35		06/25/2018	SUMRIDGE PARTNERS LLC		120,811	115,000	122,567	122,548	.0	(330)	.0	(330)	.0	122,218	.0	(1,407)	(1,407)	2,377	10/01/2035	1FE
542424-UT-1	LONG BEACH CALIF HBR REV SERIES A 5.000% 05/15/29		06/25/2018	ROBERT W. BAIRD CO. INCO		410,477	350,000	424,809	421,385	.0	(3,321)	.0	(3,321)	.0	418,064	.0	(7,587)	(7,587)	10,792	05/15/2029	1FE
546398-L9-7	LOUISIANA PUB FACS AUTH REV 5.625% 05/01/21		05/01/2018	Call 100.0000		35,000	35,000	34,801	34,919	.0	.7	.0	.7	.0	34,927	.0	.74	.74	.984	05/01/2021	2FE
546456-CY-8	LOUISIANA ST CITIZENS PPTY 6.125% 06/01/25		06/01/2018	Call 100.0000		1,000,000	1,000,000	1,119,840	1,008,474	.0	(8,474)	.0	(8,474)	.0	1,000,000	.0	.0	.0	30,625	06/01/2025	1FE
57585K-GP-7	MASSACHUSETTS ST HEALTH & ED SERIES R 2.940% 11/01/49		06/25/2018	J P Morgan		1,300,000	1,300,000	1,300,000	.0	.0	.0	.0	.0	.0	1,300,000	.0	.0	.0	3,664	11/01/2049	1FE
576049-YH-7	MASSACHUSETTS ST WTR RES AUTH SERIES C 1.420% 08/01/20		06/25/2018	Barclays		800,000	800,000	800,000	.0	.0	.0	.0	.0	.0	800,000	.0	.0	.0	1,389	08/01/2020	1FE
650035-TQ-1	NEW YORK ST URBAN DEV CORP REV 5.000% 01/01/21		06/27/2018	Paine Webber		1,207,650	1,150,000	1,225,176	1,169,679	.0	(4,718)	.0	(4,718)	.0	1,164,961	.0	42,689	42,689	57,181	01/01/2021	1FE
705888-BR-5	PELL CITY AL SPL CARE FACS FIN SERIES A 5.000% 12/01/31		06/26/2018	Raymond James & Associates		729,898	680,000	715,380	708,677	.0	(3,371)	.0	(3,371)	.0	705,306	.0	24,592	24,592	19,550	12/01/2031	1FE
744434-EF-4	PUBLIC PWR GENERATION AGY NE R 5.000% 01/01/34		06/25/2018	SUMRIDGE PARTNERS LLC		118,897	105,000	122,319	.0	.0	(845)	.0	(845)	.0	121,473	.0	(2,577)	(2,577)	2,567	01/01/2034	1FE
760088-BC-7	RENSSELAER CITY NY SCH DIST CO 5.000% 06/01/28		06/26/2018	SUMRIDGE PARTNERS LLC		1,010,786	880,000	987,615	977,504	.0	(4,952)	.0	(4,952)	.0	972,552	.0	38,234	38,234	25,300	06/01/2028	1FE
837123-HD-3	SOUTH CAROLINA ST PORTS AUTH 5.000% 07/01/32		06/25/2018	Wells Fargo		114,956	100,000	116,042	.0	.0	(81)	.0	(81)	.0	115,961	.0	(1,005)	(1,005)	292	07/01/2032	1FE
85732G-PH-7	STATE PUBLIC SCH BLDG AUTH 5.000% 04/01/25		06/22/2018	BNY Capital Markets Inc, Rose		431,508	400,000	457,724	428,426	.0	(3,061)	.0	(3,061)	.0	425,365	.0	.6,143	.6,143	14,722	04/01/2025	1FE
85732P-CS-7	STATE PUBLIC SCHOOL BUILDING A 1.915% 09/01/24		04/12/2018	Call 100.0000		635,000	635,000	635,000	635,000	.0	.0	.0	.0	.0	635,000	.0	.0	.0	6,921	09/01/2024	1FE
934864-AL-3	WARREN CNTY KY HOSP 5.000% 04/01/18		04/01/2018	Maturity		440,000	440,000	505,032	443,036	.0	(3,036)	.0	(3,036)	.0	440,000	.0	.0	.0	11,000	04/01/2018	1FE
97072N-BP-2	WILLISTON ND SALES TAX REVENUE SERIES A 4.000% 05/01/18		05/01/2018	Maturity		575,000	575,000	613,065	575,000	.0	.0	.0	.0	.0	575,000	.0	.0	.0	11,500	05/01/2018	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						16,074,983	15,477,502	16,707,222	11,064,358	0	(70,537)	0	(70,537)	0	16,055,594	0	19,389	19,389	323,287	XXX	XXX
00115A-AE-9	AEP TRANSMISSION CO LLC SERIES W1 3.100% 12/01/26		06/26/2018	J P Morgan		779,409	815,000	821,553	821,386	.0	(319)	.0	(319)	.0	821,067	.0	(41,658)	(41,658)	14,527	12/01/2026	1FE
00206R-EN-8	AT&T INC 4.900% 08/14/37		04/05/2018	Tax Free Exchange		1,456,824	1,450,000	1,453,252	1,453,220	.0	(21)	.0	(21)	.0	1,453,199	.0	3,625	3,625	47,959	08/14/2037	2FE
00206R-FV-9	AT&T INC 4.900% 08/14/37		05/18/2018	CREDIT SUISSE FIRST BOSTON		1,396,887	1,450,000	1,453,199	.0	.0	.0	.0	.0	.0	1,453,199	.0	(56,313)	(56,313)	19,341	08/14/2037	2FE
02153L-AA-2	ROBERT W. BAIRD CO. INCO																				
02153L-AA-2	ALTERRA FINANCE LLC 6.250% 09/30/20		06/26/2018	Redemption 100.0000		687,518	650,000	649,994	650,000	.0	.0	.0	.0	.0	650,000	.0	37,518	37,518	30,243	09/30/2020	2FE
023764-AA-1	AMERN AIRLINE 16-2 A PTT SERIES A 3.650% 12/15/29		06/15/2018			26,000	26,000	26,000	26,000	.0	.0	.0	.0	.0	26,000	.0	.0	.0	475	06/15/2028	1FE
026874-OH-7	AMERICAN INTERNATIONAL GROUP 3.900% 04/01/26		05/29/2018	CREDIT SUISSE FIRST BOSTON		1,959,560	2,000,000	1,975,027	.0	.0	.467	.0	.467	.0	1,975,494	.0	(15,934)	(15,934)	52,000	04/01/2026	2FE
03523T-BH-0	ANHEUSER-BUSCH 6.875% 11/15/19		06/26/2018	Deutsche Bank		3,655,075	3,475,000	4,266,359	3,730,634	.0	(65,864)	.0	(65,864)	.0	3,664,770	.0	(9,696)	(9,696)	147,989	11/15/2019	2FE
05950B-AG-9	BANC OF AMERICA ALT LN TR SERIES 2006-5 CLASS CB7 6.000% 06/25/46		06/01/2018	Paydown		40,005	46,510	28,651	41,687	.0	(1,682)	.0	(1,682)	.0	40,005	.0	.0	.0	1,087	06/25/2046	4FM
09062X-AF-0	BIOGEN IDEC INC 4.050% 09/15/25		06/26/2018	Goldman Sachs & Co		1,402,520	1,400,000	1,396,696	1,397,341	.0	146	.0	146	.0	1,397,487	.0	5,033	5,033	44,573	09/15/2025	2FE
126650-BP-4	Redemption 100.0000																				
126650-BP-4	CVS/CAREMARK CORP 6.036% 12/10/28		06/10/2018			7,106	7,106	7,946	7,843	.0	(21)	.0	(21)	.0	7,822	.0	(717)	(717)	179	12/10/2028	2FE
151020-AS-3	CELGENE CORP 3.875% 08/15/25		06/26/2018	Morgan Stanley		873,567	900,000	891,306	893,081	.0	386	.0	386	.0	893,467	.0	(19,900)	(19,900)	30,322	08/15/2025	2FE
16163C-AH-5	CHASE MORTGAGE FINANCE CORP SERIES 2006-A1 CLASS 2A3 3.779% 09/25/36		06/01/2018	Paydown		101,639	38,473	18,636	23,800	.0	.77,839	.0	.77,839	.0	101,639	.0	.0	.0	2,262	09/25/2036	1FM
22822V-AB-7	CROWN CASTLE INTL CORP 4.450% 02/15/26		05/24/2018	Goldman Sachs & Co		1,996,140	2,000,000	1,993,420	1,994,469	.0	235	.0	235	.0	1,994,704	.0	1,436	1,436	70,211	02/15/2026	2FE

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)	
256677-AD-7 29336U-AB-3	DOLLAR GENERAL CORP 4.150% 11/01/25 ENLINK MIDSTREAM PARTNER 4.400% 04/01/24 FOUR TIMES SQUARE TRUST SERIES 2006-4TS CLASS A 5.401% 12/13/28		06/26/2018 06/26/2018 06/11/2018	SUMRIDGE PARTNERS LLC Mitsubishi Securities Paydown		1,477,242 2,305,800 4,566	1,475,000 2,400,000 4,566	1,478,668 2,464,440 5,274	1,477,983 2,442,787 4,735	.0 .0 .0	(167) (3,122) (168)	.0 .0 .0	(167) (3,122) (168)	.0 .0 .0	1,477,816 2,439,665 4,566	.0 .0 .0	(574) (133,865) .0	(574) (133,865) .0	40,298 78,320 103	11/01/2025 04/01/2024 12/13/2028	2FE 2FE 1FM	
350910-AN-5 38141G-WL-4	GOLDMAN SACHS GROUP INC 3.691% 06/05/28		06/26/2018	Various CREDIT SUISSE FIRST BOSTON		2,630,896 162,698	2,775,000 175,000	2,775,000 174,641	2,775,000 174,643	.0 .0	.0 .4	.0 .0	.0 .4	.0 .0	2,775,000 174,647	.0 .0	(144,104) (11,950)	(144,104) (11,950)	52,589 3,827	06/05/2028 10/31/2038	1FE 1FE	
38148Y-AA-6 391164-AE-0	GOLDMAN SACHS GROUP INC 4.017% 10/31/38 GREAT PLAINS ENERGY INC 4.850% 06/01/21 KINDER MORGAN ENERGY PARTNERS 3.450% 02/15/23		05/14/2018 06/26/2018 06/26/2018	US Bancorp US Bancorp Millinium Advisors		2,361,111 778,048	2,300,000 800,000	2,344,114 768,152	2,317,480 780,242	.0 .0 .0	(2,351) 1,728	.0 .0	(2,351) 1,728	.0 .0	2,315,129 781,970	.0 .0	45,982 (3,922)	45,982 (3,922)	64,141 23,997	06/01/2021 02/15/2023	2FE 2FE	
494550-BM-7 53079E-BE-3	LIBERTY MUTUAL SERIES 144A 4.250% 06/15/23		06/26/2018	MarketAxess Corporation RBC DOMINION SECURITIES		1,364,378	1,350,000	1,312,605	1,326,475	.0 .0	1,888	.0 .0	1,888	.0 .0	1,328,363	.0 .0	36,014	36,014	30,759	06/15/2023	2FE	
534187-AW-9	LINCOLN NATIONAL CORP 6.300% 10/09/37 MASTER ALTERNATIVE LOANS TRUST SERIES 2004-3 CLASS 1A1 5.000% 03/25/19		06/26/2018 06/01/2018	Paydown Corporate Action		235,678 8,423	200,000 8,423	239,084 8,048	234,442 8,336	.0 .0	(516) 87	.0 .0	(516) 87	.0 .0	233,926 8,423	.0 .0	1,752 .0	1,752 .0	9,065 173	10/09/2037 03/25/2019	2FE 1FM	
576434-NU-4 59156R-AX-6	METLIFE 4.750% 02/08/21 MORGAN STANLEY CAPITAL I SERIES 2005-1Q9 CLASS AJ 4.770% 07/15/56		05/29/2018 06/01/2018	Corporate Action Paydown		546,050 62,938	519,000 62,938	604,593 67,171	553,090 63,217	.0 .0	(4,398) (279)	.0 .0	(4,398) (279)	.0 .0	548,692 62,938	.0 .0	(2,642) .0	(2,642) .0	19,996 2,034	02/08/2021 07/15/2056	1FE 1FM	
61745M-2H-5 651229-AV-8	NEWELL BRANDS INC 3.850% 04/01/23		06/26/2018	Deutsche Bank		1,479,090	1,500,000	1,556,415	1,549,219	.0	(4,422)	.0	(4,422)	.0	1,544,796	.0	(65,706)	(65,706)	42,831	04/01/2023	2FE	
74340X-BE-0	PROLOGIS LP 3.750% 11/01/25 SPRINT SPECTRUM SPEC I SERIES A-1 3.360% 09/20/21		06/26/2018 06/20/2018	Wells Fargo Redemption 100.0000		832,796 51,250	835,000 51,250	830,091 51,601	831,005 51,483	.0 .0	217 (40)	.0 .0	217 (40)	.0 .0	831,222 51,442	.0 .0	1,573 (192)	1,573 (192)	20,614 861	11/01/2025 09/20/2021	1FE 2FE	
85208N-AA-8 902494-BC-6	TYSON FOODS INC 3.550% 06/02/27 US AIRWAYS SER 2013-1 CLASS A PTT EETC 3.950% 11/15/25		06/26/2018 05/15/2018	Morgan Stanley Redemption 100.0000		942,940 64,842	1,000,000 64,842	966,830 65,277	.0 65,139	.0 .0	911 (12)	.0 .0	911 (12)	.0 .0	967,741 65,127	.0 .0	(24,801) (285)	(24,801) (285)	20,314 1,281	06/02/2027 11/15/2025	2FE 1FE	
90346W-AA-1	UNITED AIR 2015-1 A PTT 3.450% 06/01/29 VALERO ENERGY CORP 3.400% 09/15/26		06/01/2018 05/29/2018	Wells Fargo Wells Fargo		60,441 2,020,956	60,441 2,100,000	60,593 2,028,167	60,583 2,035,189	.0 .0	(6) 2,636	.0 .0	(6) 2,636	.0 .0	60,577 2,037,825	.0 .0	(136) (16,869)	(136) (16,869)	1,043 50,773	12/01/2027 09/15/2026	1FE 2FE	
91913Y-AU-4 92343V-CC-6	VERIZON COMMUNICATIONS 3.450% 03/15/21		06/12/2018	Morgan Stanley SG Americas Securities		1,563,702	1,550,000	1,549,721	1,549,863	.0 .0	19	.0 .0	19	.0 .0	1,549,881	.0 .0	13,821	13,821	39,958	03/15/2021	2FE	
92343V-CN-2 929089-AB-6	VERIZON COMMUNICATIONS 3.000% 11/01/21 VOYA FINANCIAL INC 3.650% 06/15/26		06/12/2018 06/26/2018	LLC US Bancorp		1,488,345 1,271,295	1,500,000 1,350,000	1,495,485 1,343,723	1,497,413 1,344,550	.0 .0	290 273	.0 .0	290 273	.0 .0	1,497,703 1,344,823	.0 .0	(9,358) (73,528)	(9,358) (73,528)	27,875 26,417	11/01/2021 06/15/2026	2FE 2FE	
92935J-BC-8	WF-RBS COMMERCIAL MTG TRUST SERIES 2011- C2 CLASS A4 4.869% 02/15/44		06/01/2018	Paydown		7,578	7,578	8,904	7,894	.0	(315)	.0	(315)	.0	7,578	.0	.0	.0	154	02/15/2044	1FM	
92935V-AE-8	WF-RBS COMMERCIAL MTG TRUST SERIES 2011-C3 CLASS A3 3.998% 03/15/44		06/01/2018	Paydown		266,547	266,547	269,212	266,744	.0	(197)	.0	(197)	.0	266,547	.0	.0	.0	5,243	03/15/2044	1FM	
01626P-AH-9	ALIMENTATION COUCHE-TARD INC SERIES 144A 3.550% 07/26/27	A	06/26/2018	Various		2,592,860	2,750,000	2,630,348	.0	.0	2,209	.0	2,209	.0	2,632,557	.0	(39,697)	(39,697)	32,936	07/26/2027	2FE	
05252A-AN-1 05565Q-CP-1	AUST & NZ BANKING GROUP SERIES 144A 5.100% 01/13/20 BP CAPITAL MARKETS PLC 3.814% 02/10/24	D	06/26/2018 06/26/2018	Various Morgan Stanley		951,441 1,513,485	925,000 1,500,000	923,566 1,511,385	924,647 1,507,481	.0 .0	82 (542)	.0 .0	82 (542)	.0 .0	924,729 1,506,939	.0 .0	26,712 6,546	26,712 6,546	45,209 50,536	01/13/2020 02/10/2024	1FE 1FE	
111013-AL-2	BRITISH SKY BROADCASTING SERIES 144A 3.750% 09/16/24	D	06/26/2018	Goldman Sachs & Co		741,240	750,000	743,355	744,638	.0	348	.0	348	.0	744,986	.0	(3,746)	(3,746)	22,031	09/16/2024	2FE	
368266-AA-0 87938W-AR-4	GAZPROM OAO VIA GAZ CAPITAL SA SERIES 144A 8.625% 04/28/34 TELEFONICA EMISIONES 4.570% 04/27/23	D	04/10/2018 06/26/2018	Chase Pierpont Securities		583,200 1,239,672	480,000 1,200,000	650,400 1,128,917	.0 1,156,485	.0 .0	(1,064) 3,526	.0 .0	(1,064) 3,526	.0 .0	649,336 1,160,011	.0 .0	(66,136) 79,661	(66,136) 79,661	18,860 36,712	04/28/2034 04/27/2023	2FE 2FE	
88032W-AG-1 91911T-AH-6	TENCENT HOLDINGS LTD SERIES 144A 3.595% 01/19/28 VALE OVERSEAS LIMITED 6.875% 11/21/36	D	06/26/2018 05/24/2018	CREDIT SUISSE FIRST BOSTON Salomon Bros		947,000 1,021,494	1,000,000 900,000	992,210 996,750	.0 995,836	.0 .0	278 (1,153)	.0 .0	278 (1,153)	.0 .0	992,488 994,683	.0 .0	(45,488) 26,818	(45,488) 26,818	15,878 32,313	01/19/2028 11/21/2036	1FE 2FE	
8399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						45,960,252	46,118,674	47,026,779	37,786,060	0	6,910	0	6,910	0	46,460,979	0	(500,726)	(500,726)	1,278,309	XXX	XXX	
8399997. Total - Bonds - Part 4						67,889,749	66,929,176	69,695,032	54,512,866	0	(90,417)	0	(90,417)	0	68,359,071	0	(469,321)	(469,321)	1,782,850	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8399999. Total - Bonds						67,889,749	66,929,176	69,695,032	54,512,866	0	(90,417)	0	(90,417)	0	68,359,071	0	(469,321)	(469,321)	1,782,850	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX

STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						67,889,749	XXX	69,695,032	54,512,866	0	(90,417)	0	(90,417)	0	68,359,071	0	(469,321)	(469,321)	1,782,850	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

SCHEDULE DL - PART 1  
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation/ Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
06417G-Q2-7	BANK OF NOVA SCOTIA HOUSTON		1	95,397	95,264	03/20/2019
20271E-NH-7	COMMONWEALTH BANK AUS, NEW YORK		1	53,340	53,348	04/23/2019
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				148,737	148,612	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				148,737	148,612	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6099999. Subtotal - SVO Identified Funds				0	0	XXX
6199999. Total - Issuer Obligations				148,737	148,612	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6599999. Total - SVO Identified Funds				0	0	XXX
6699999. Total Bonds				148,737	148,612	XXX
7099999. Total - Preferred Stocks				0	0	XXX
7599999. Total - Common Stocks				0	0	XXX
7699999. Total - Preferred and Common Stocks				0	0	XXX
000000-00-0	ML PIERCE FENNER & SMITH INC			381,056	381,056	09/20/2018
000000-00-0	CIC LONDON			85,475	85,023	10/25/2018
000000-00-0	UNITED OVERSEAS BANK LTD, NY			38,106	38,106	09/17/2018
000000-00-0	AUSTRALIA NEW ZEALAND SINGAPORE			76,211	76,211	07/25/2018
000000-00-0	MORGAN STANLEY			381,056	381,056	10/29/2018
000000-00-0	SHINKIN CENTRAL BANK			76,211	76,211	07/30/2018
06119R-GP-4	BANK OF CHINA, HONG KONG BRANCH			38,058	37,865	07/23/2018
06742T-GD-2	BARCLAYS NEW YORK			114,351	114,317	08/01/2018
13606B-UA-2	CIBC NY			61,001	60,969	09/17/2018
13608C-ER-9	CANADIAN IMPERIAL BK OF COMM CP			91,494	91,453	09/17/2018
21684B-5H-3	RABOBANK NEW YORK			114,361	114,317	10/01/2018
21684B-5J-9	RABOBANK NEW YORK			60,992	60,969	04/05/2019
22549L-JU-0	CREDIT SUISSE NY			95,264	95,264	09/07/2018
23328A-A7-9	DZ BANK AG NEW YORK			76,212	76,211	07/03/2018
44988K-EP-0	ING (US) Funding LLC			76,233	76,211	02/08/2019
55379H-DA-1	MUFG Bank Ltd, New York Branch			152,478	152,422	10/29/2018
60700A-WX-8	MIZUHO BANK LIMITED NEW YORK			118,192	118,127	10/11/2018
63873N-PP-8	NATIXIS NY			114,369	114,317	09/17/2018
63873N-UN-9	NATIXIS NY			38,108	38,106	03/05/2019
65590A-UR-5	NORDEA BK AB NY			76,251	76,211	04/05/2019
65602U-J6-6	NORINCHUKIN BANK LONDON			91,431	91,453	09/04/2018
78012U-BM-6	ROYAL BANK OF CANADA			76,246	76,211	09/17/2018
78012U-BU-8	ROYAL BANK OF CANADA NY			76,272	76,211	09/17/2018
82124M-LS-7	SHEFFIELD RECEIVABLE CORP.			37,711	37,485	11/26/2018
83369Y-D7-8	SOCIETE GENERALE NEW YORK			76,204	76,211	10/02/2018
85325T-ZH-1	STANDARD CHARTERED NY			76,213	76,211	08/22/2018
86564F-AN-3	SUMITOMO MITSUI TRUST BANK LTD,NY			114,329	114,317	10/11/2018
86564F-DB-6	SUMITOMO MITSUI TRUST BANK LTD,NY			76,210	76,211	10/15/2018
86958J-B2-4	SVENSKA HANDELSBANK NY			106,753	106,696	09/28/2018
86958J-QM-4	SVENSKA NY			76,307	76,223	01/03/2019
89233H-JJ-4	TOYOTA MOTOR CREDIT CORP			37,912	37,817	09/18/2018
90276J-DQ-6	UNION BANK OF SWITZERLAND CP			114,295	114,317	02/01/2019
9612C4-YT-2	WESTPAC BKG CORP			38,106	38,106	05/24/2019
8999999. Total - Short-Term Invested Assets (Schedule DA type)				3,263,468	3,261,891	XXX
000000-00-0	NATIXIS FINANCIAL PRODUCTS LLC			49,537	49,537	07/02/2018
000000-00-0	CITIGROUP GLOBAL MARKETS LTD			76,211	76,211	07/02/2018
000000-00-0	SANTANDER UK PLC LDN			65,656	65,656	07/02/2018
000000-00-0	CITIGROUP GLOBAL MARKETS INC			283,125	283,125	07/02/2018
000000-00-0	LANDESBANK HESSEN THUR LDN			38,106	38,106	07/02/2018
000000-00-0	SVENSKA NY			30,484	30,484	07/02/2018
000000-00-0	ML PIERCE FENNER & SMITH INC			19,059	19,059	07/02/2018
000000-00-0	NORDEA BK AB NY			76,211	76,211	07/02/2018
000000-00-0	DEUTSCHE BANK AG, LONDON BRANCH			201,198	201,198	07/02/2018
000000-00-0	PERSHING LLC			91,453	91,453	07/02/2018
16955A-BM-9	CHINA CONSTRUCTION BANK CORP NY			152,420	152,427	09/17/2018
9199999. Total - Cash Equivalents (Schedule E Part 2 type)				1,083,460	1,083,467	XXX
9999999 - Totals				4,495,665	4,493,970	XXX

General Interrogatories:

1. Total activity for the year      Fair Value \$      4,425,498      Book/Adjusted Carrying Value \$      4,434,828
2. Average balance for the year      Fair Value \$      5,294,298      Book/Adjusted Carrying Value \$      5,292,382
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
- NAIC 1 \$      4,201,319      NAIC 2 \$      292,651      NAIC 3 \$      0      NAIC 4 \$      0      NAIC 5 \$      0      NAIC 6 \$      0

SCHEDULE DL - PART 2  
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date (Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)						
1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
NONE						
9999999 - Totals						XXX

General Interrogatories:  
1. Total activity for the year Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....  
2. Average balance for the year Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Bank of America ..... 101 S. Tryon Street, 19th Floor, Charlotte, NC 28255		0.000	0	0	(78,572)	204,819	927,974	XXX
JP Morgan Chase ..... 4 New York Plaza, 13th Floor, New York, NY 10004		0.000	0	0	3,055,609	1,156,243	1,163,723	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	2,977,037	1,361,062	2,091,697	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	2,977,037	1,361,062	2,091,697	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	2,977,037	1,361,062	2,091,697	XXX



STATEMENT AS OF JUNE 30, 2018 OF THE Empire HealthChoice HMO, Inc.

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
NONE								
8899999 - Total Cash Equivalents								